

# **Airborne LIDAR scanning for assessment of forest site parameters**

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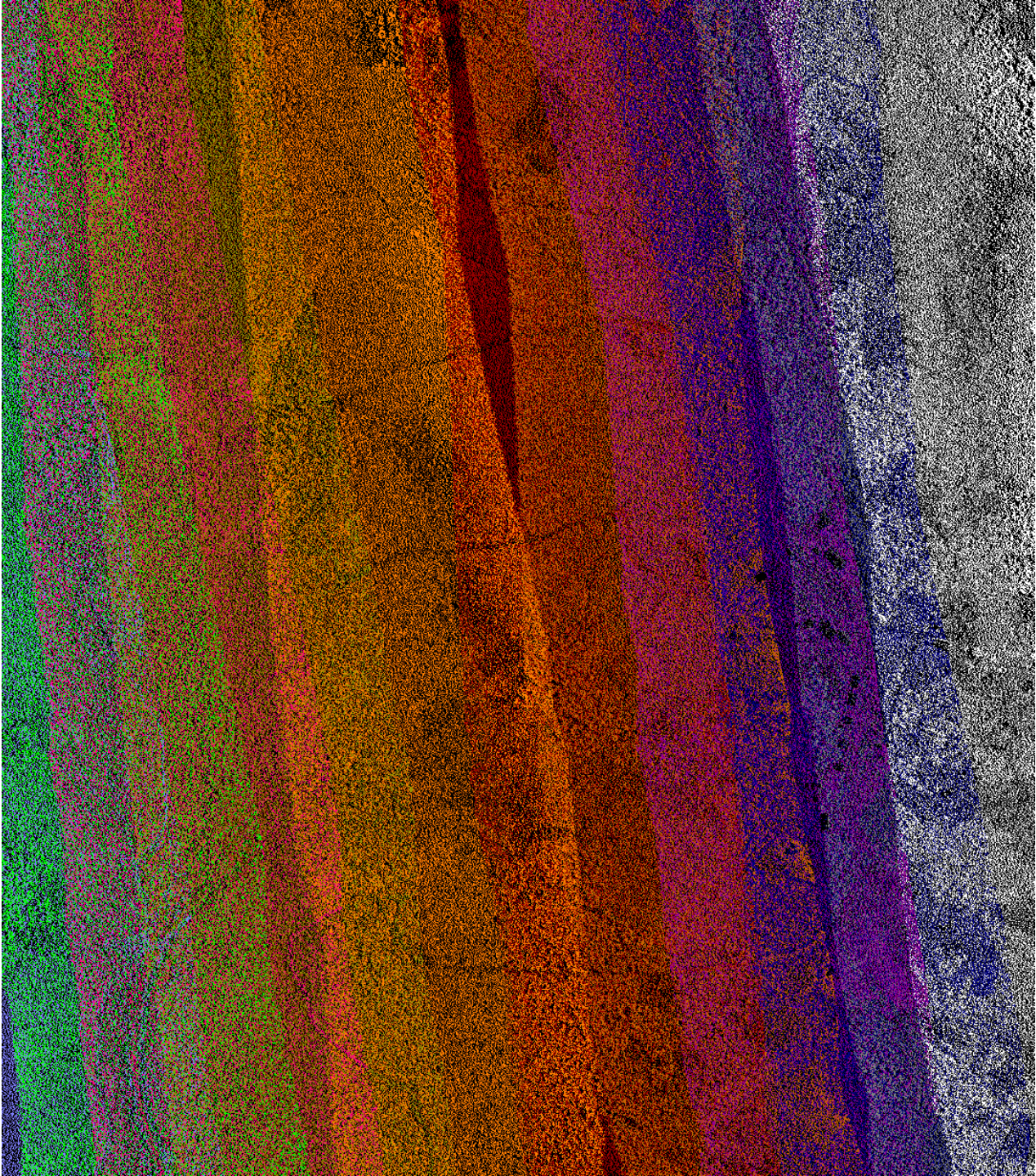
## Topics

**LiDAR data and their potential/practical application in forestry**

**Synergy of LiDAR information with other airborne data**

**Latest airborne RS infrastructure in CzechGlobe - Czech Republic**

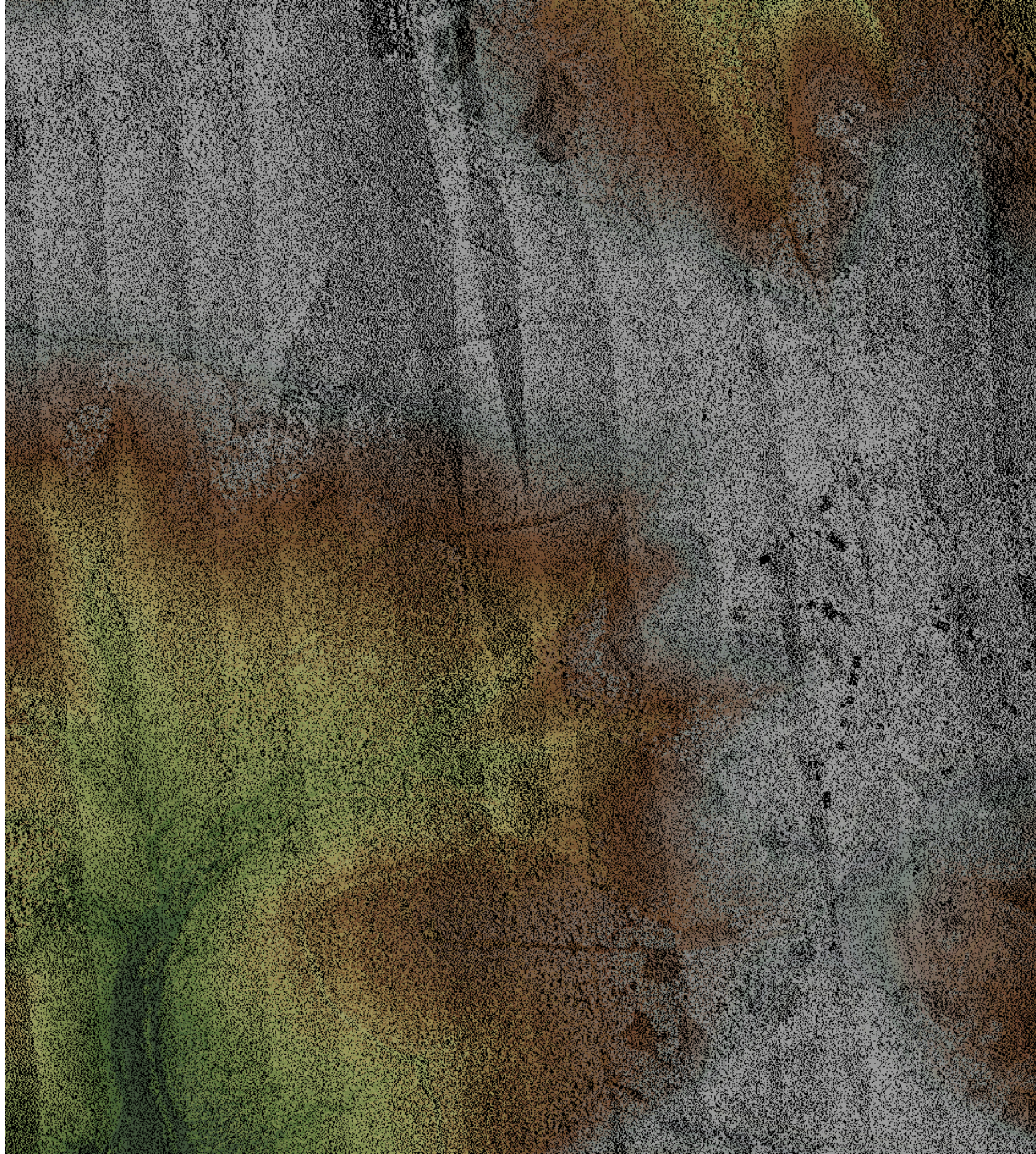
**What is it?**



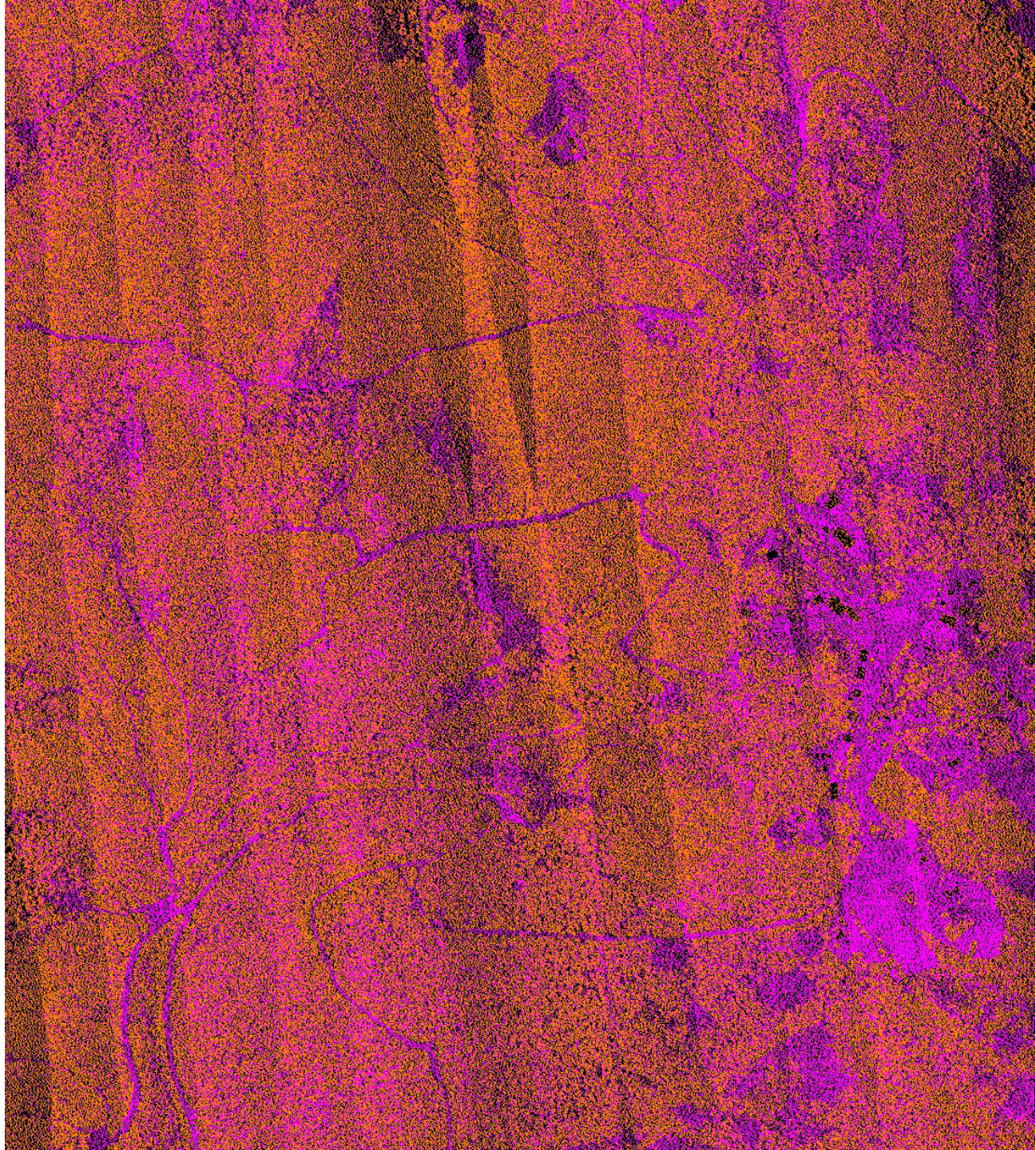
**RGB aerial photo**



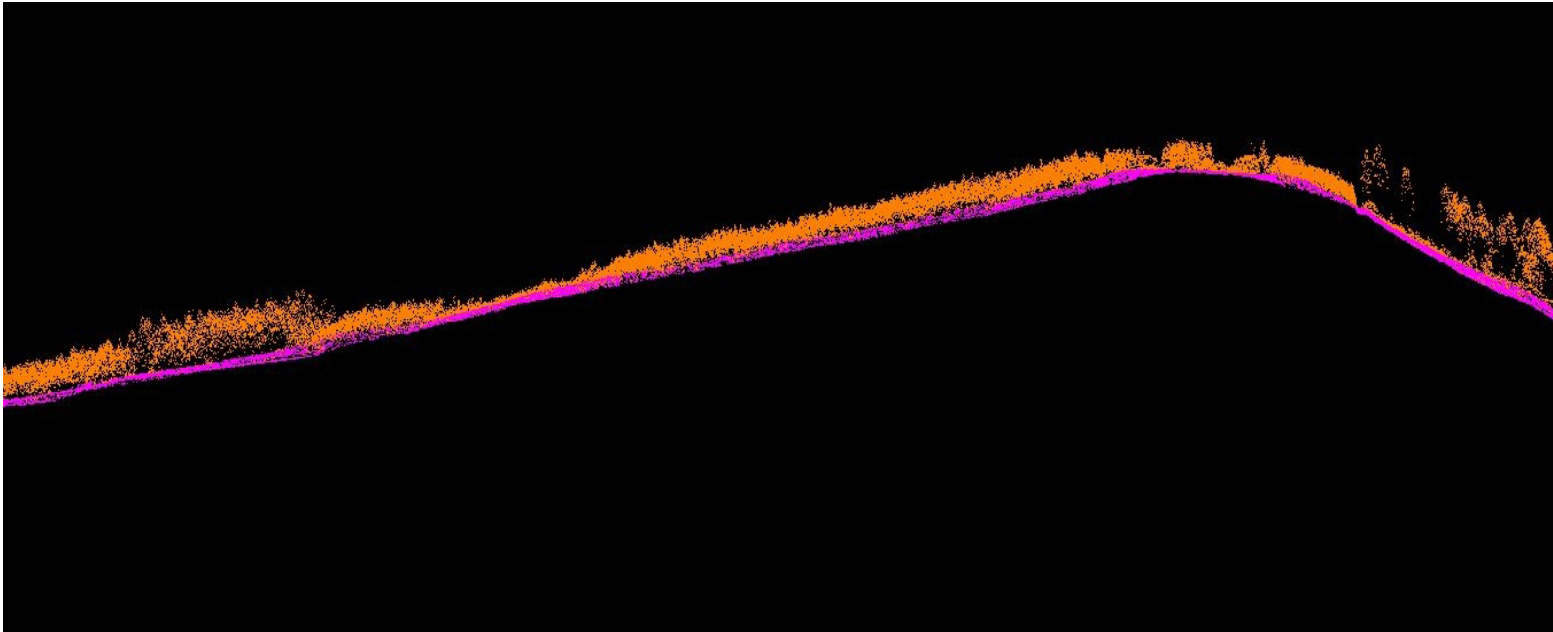
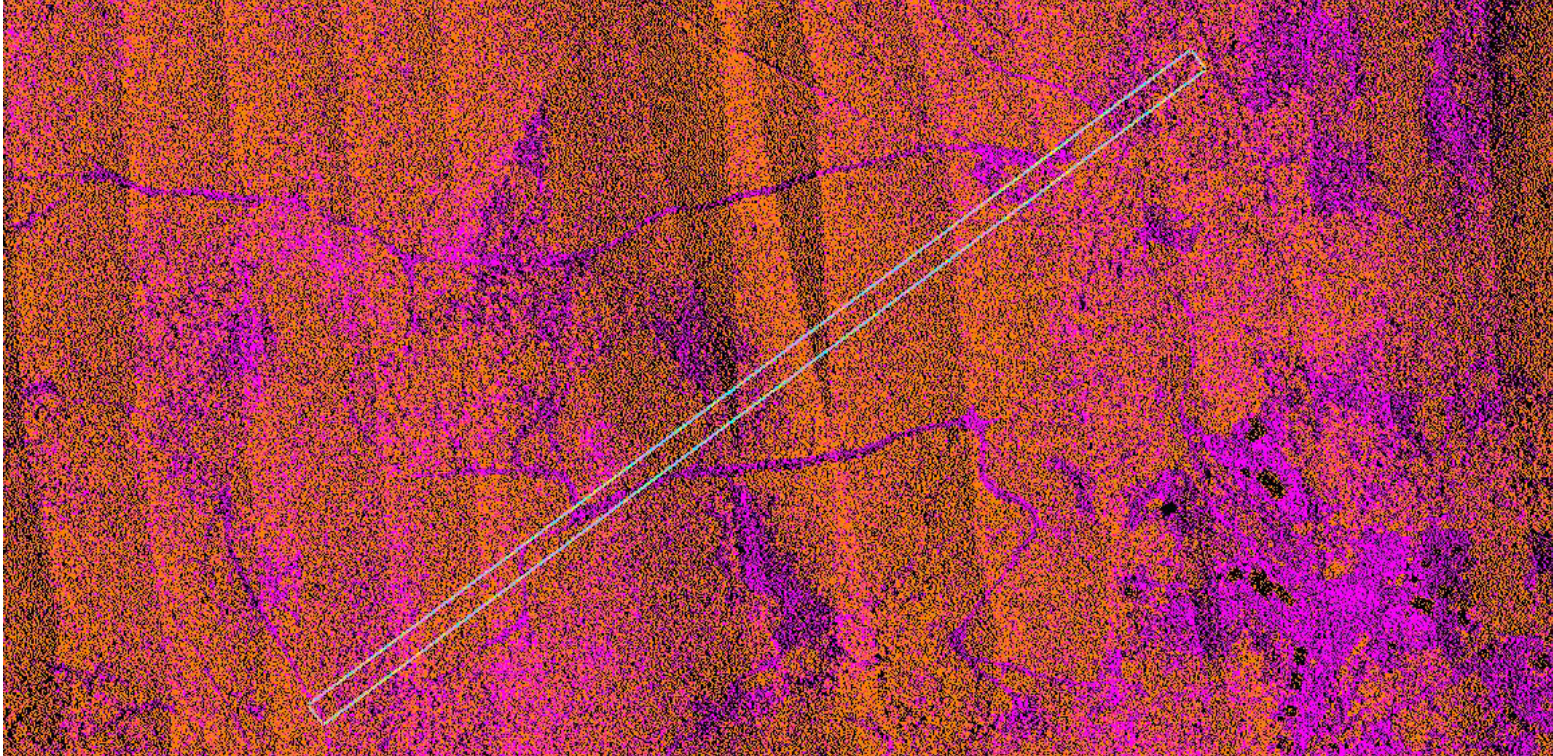
**Elevation value**  
**- all points**



**Separation for  
DEM and DSM**



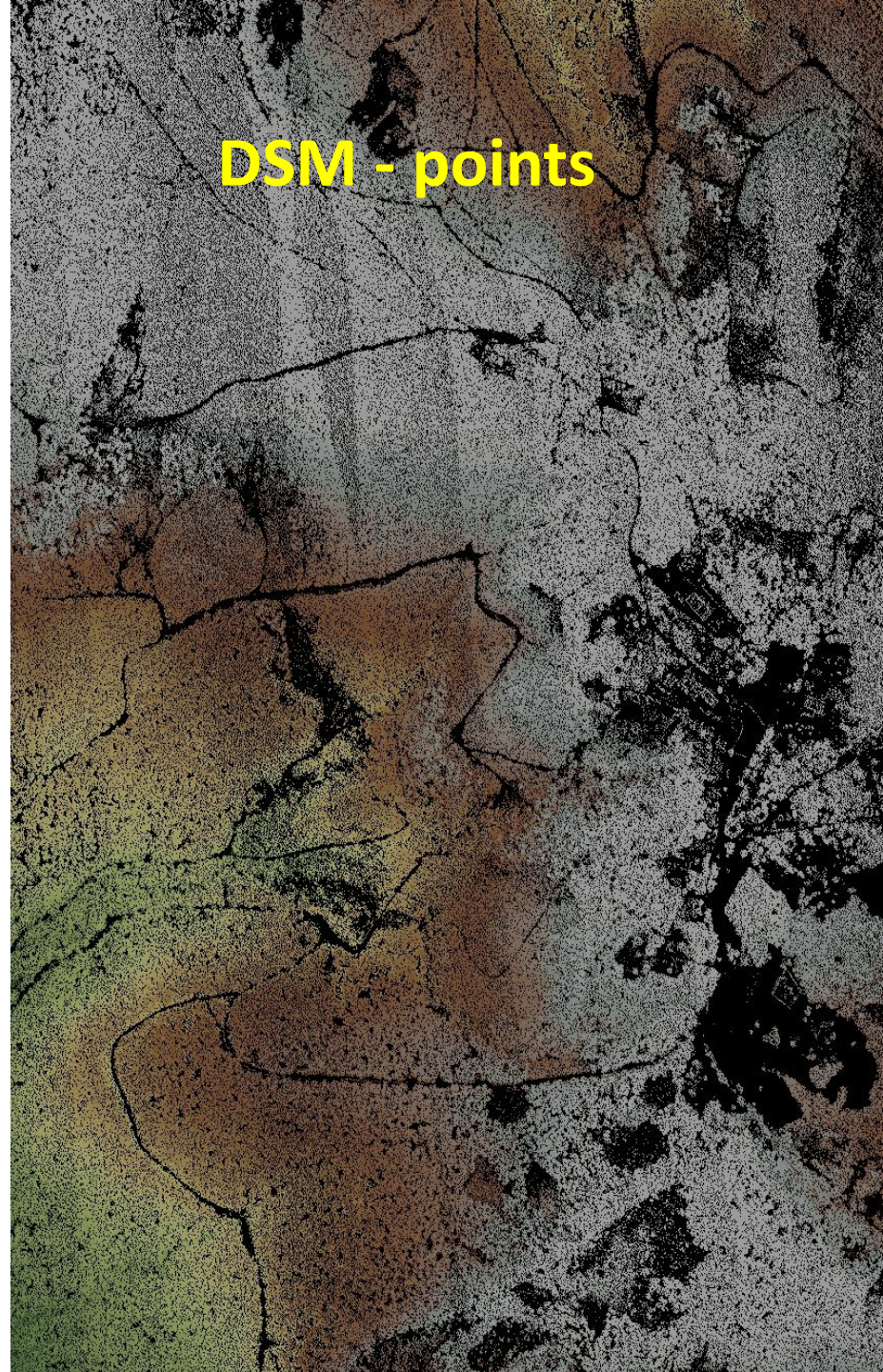
**Separation for  
DEM and DSM**



**DEM - points**

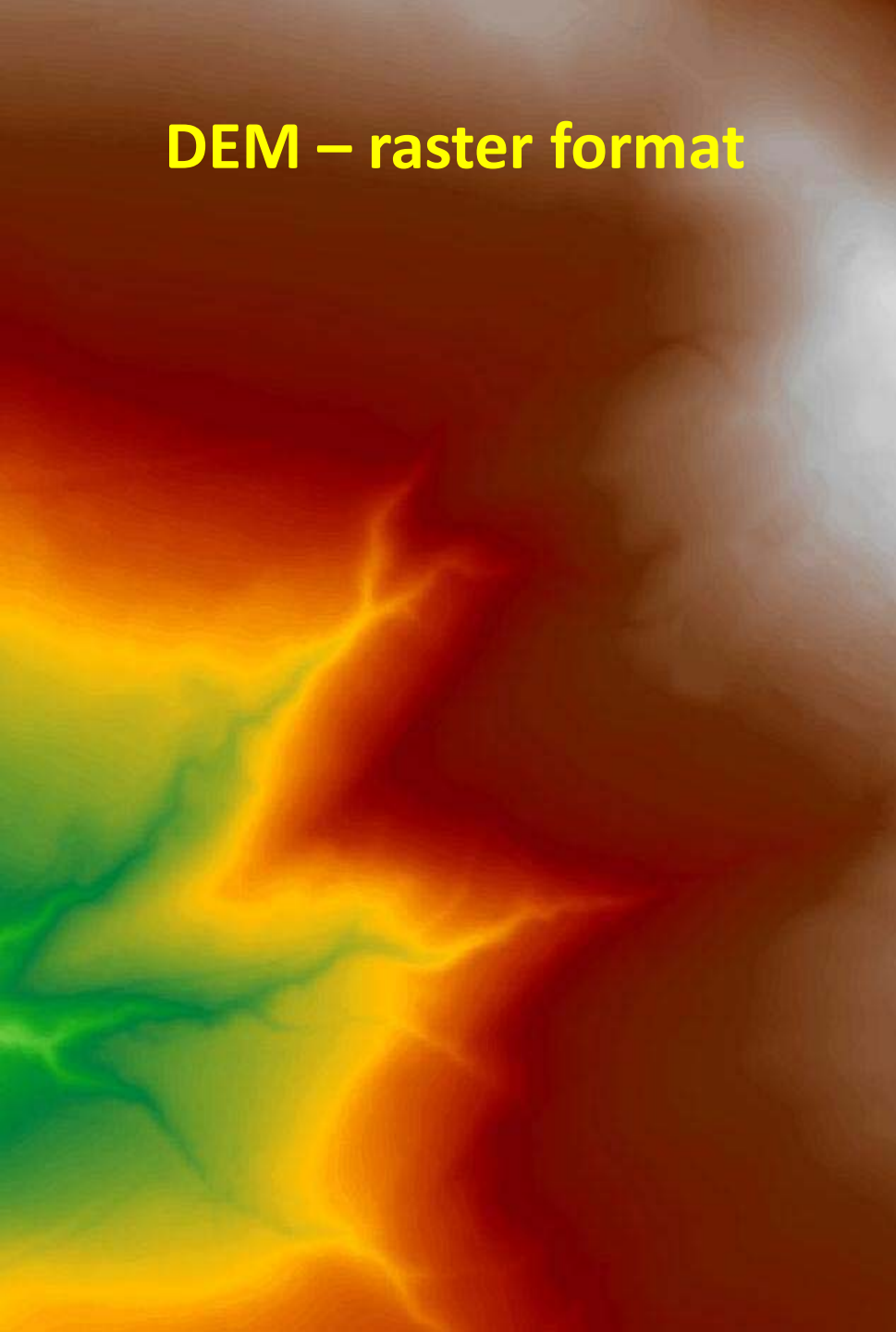


**DSM - points**

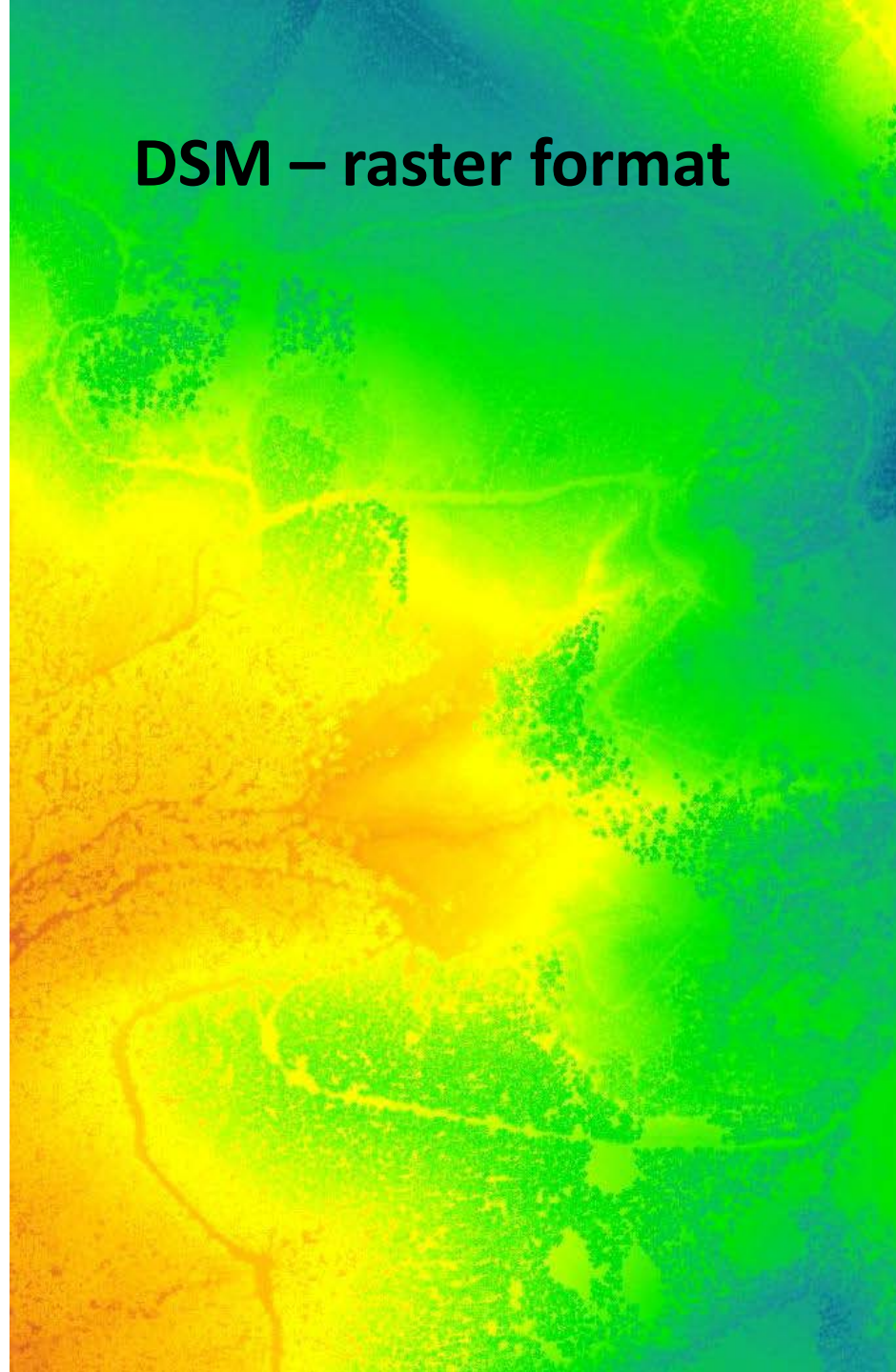




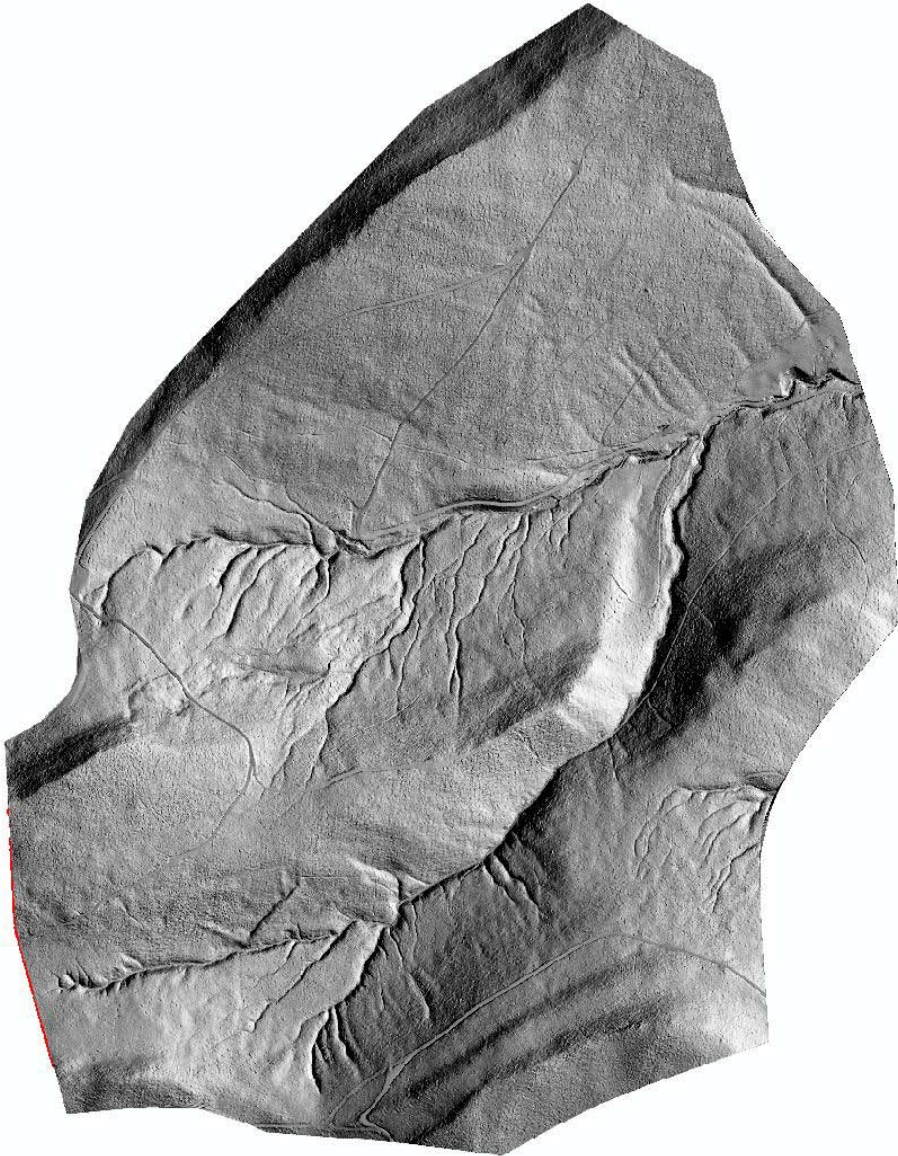
**DEM – raster format**



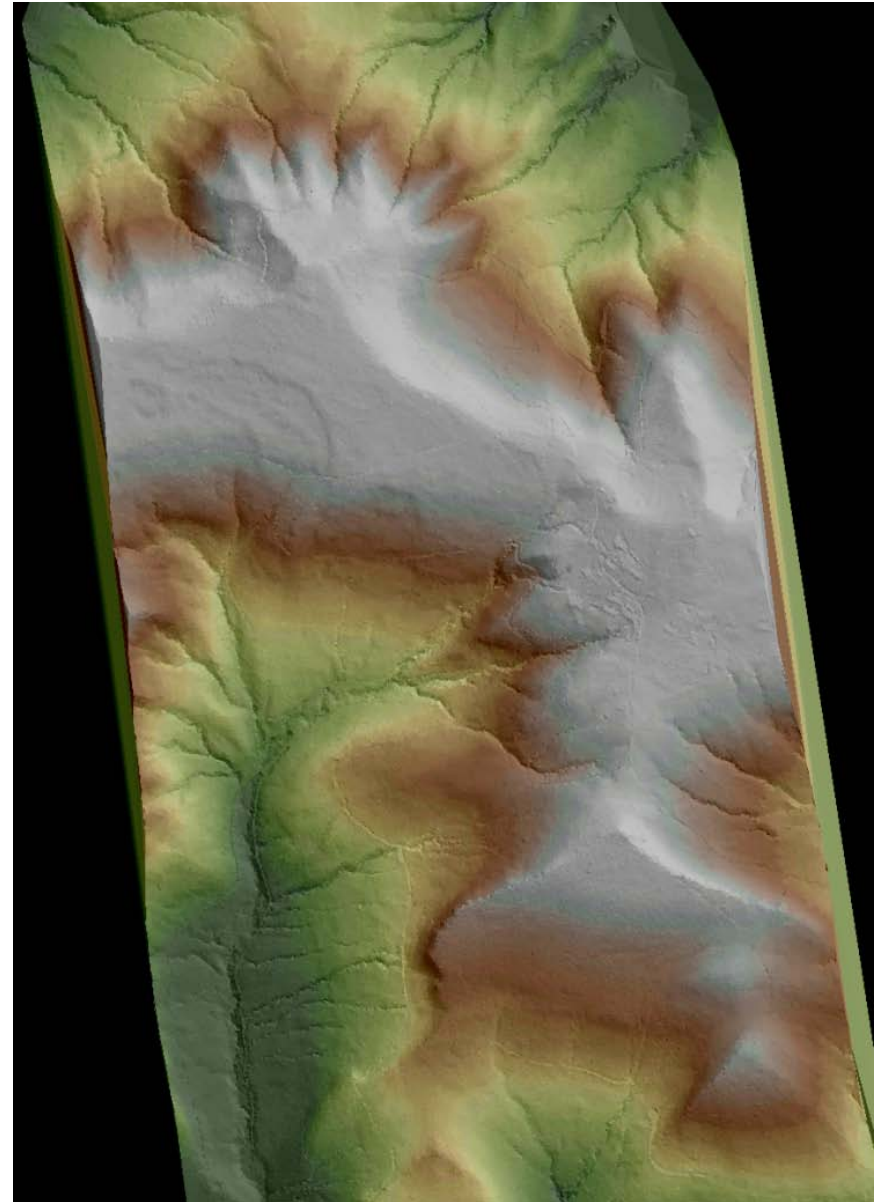
**DSM – raster format**

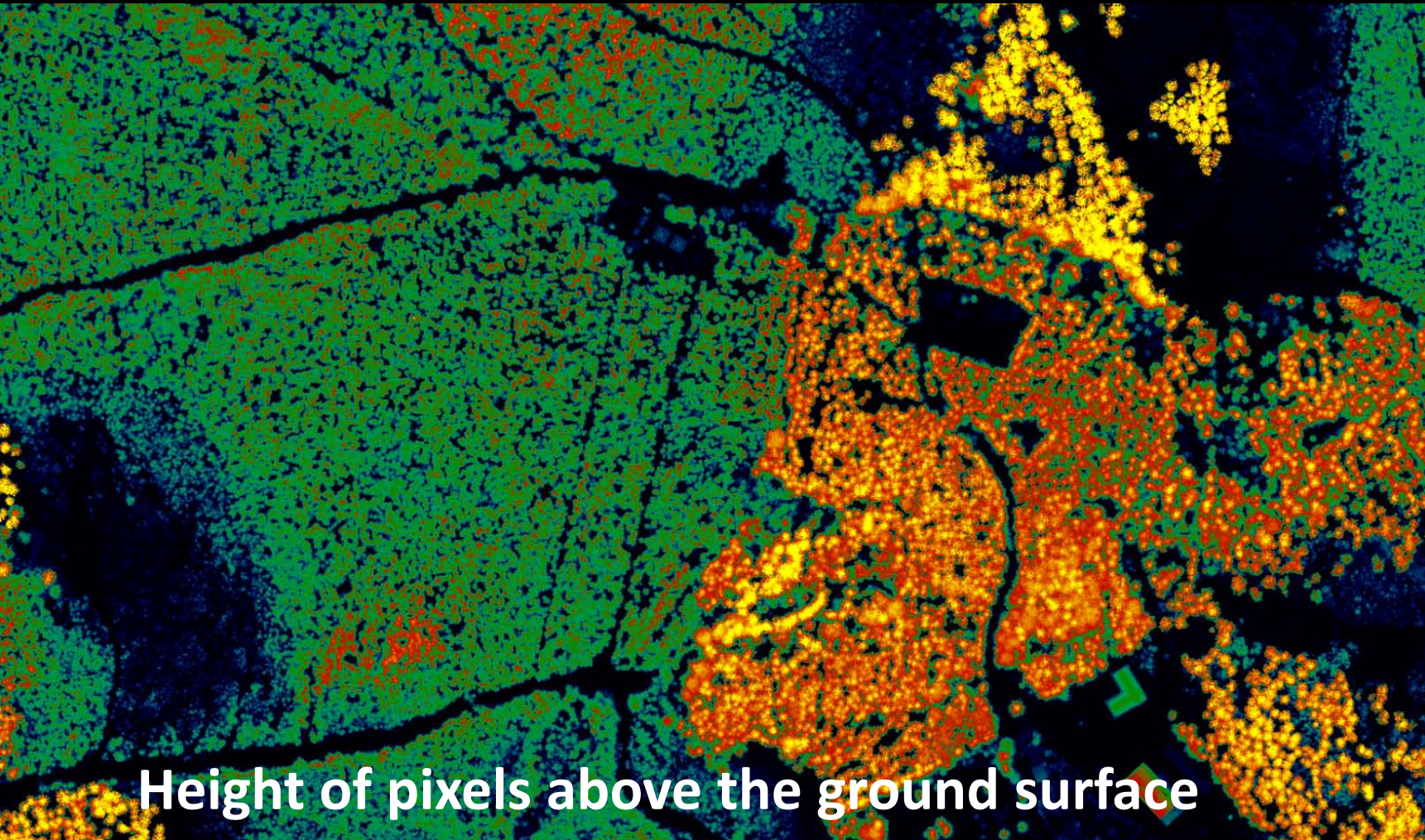
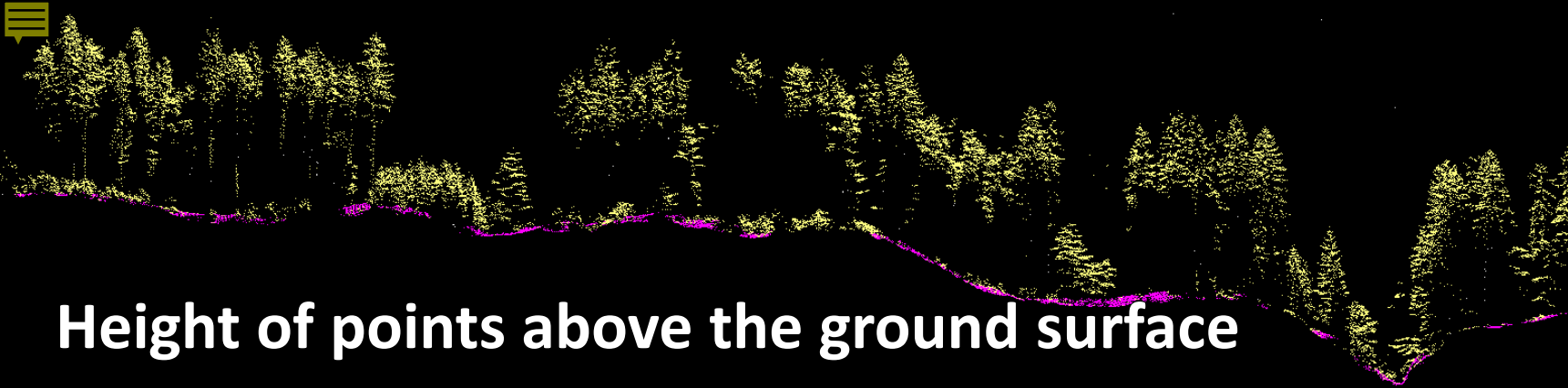


**DEM – sun lighted**



**3D view of DEM**



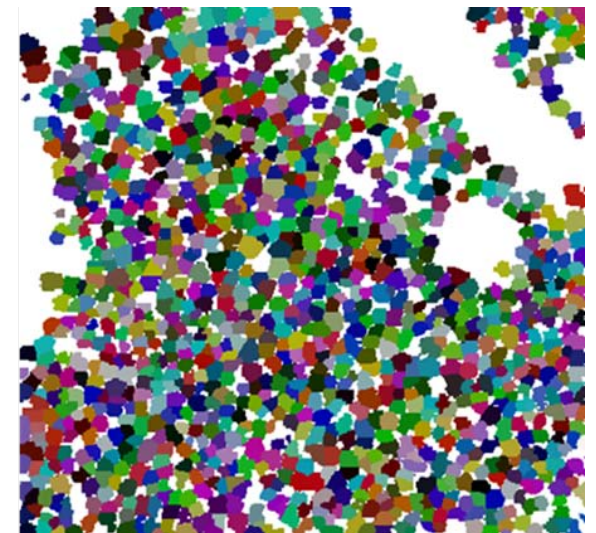
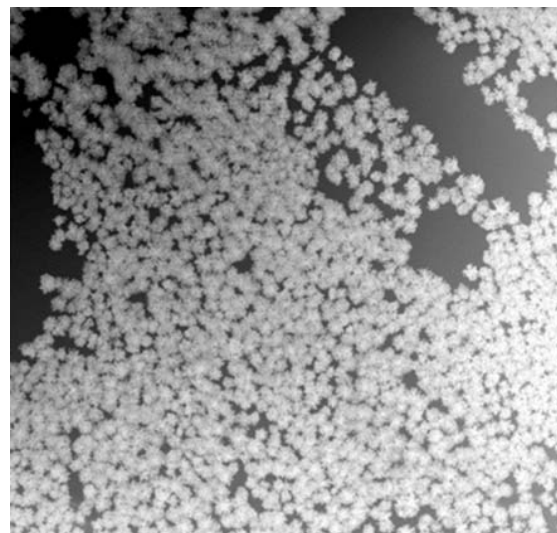
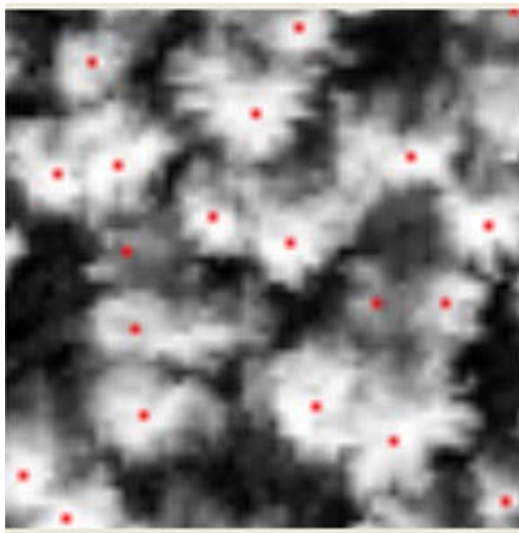




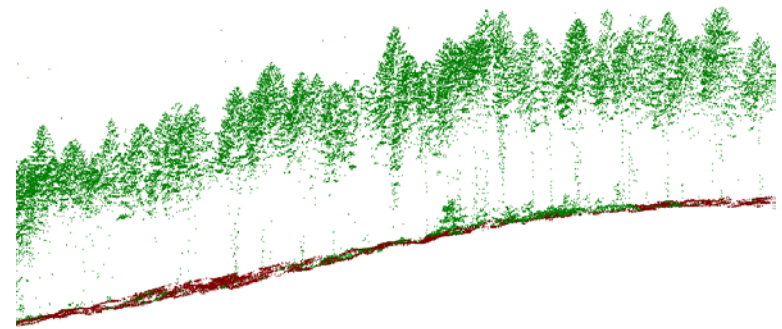
# What information can we get about individual trees? How to do it?

**Tree detection and position**  
=> Local Maxima Approach

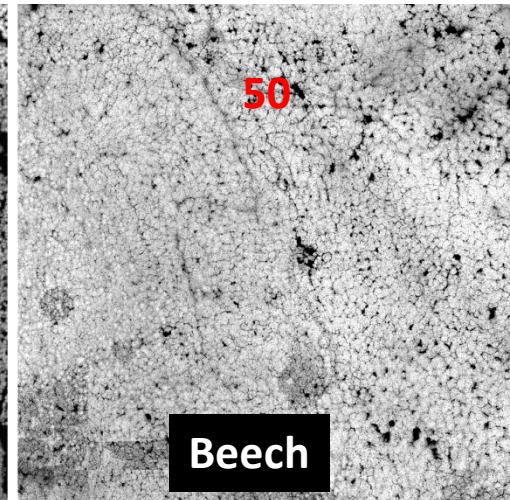
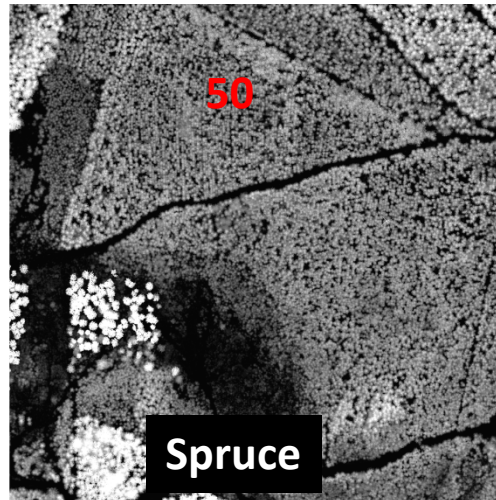
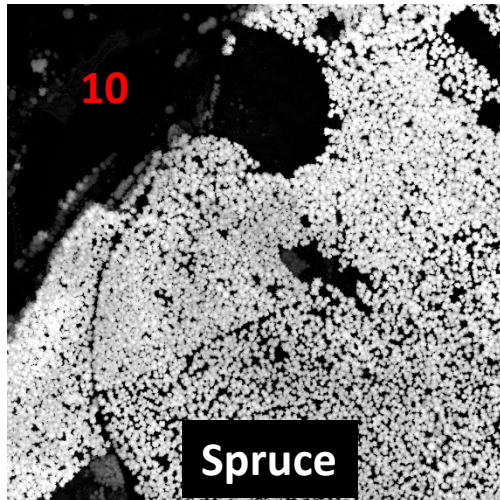
**Projected tree crown area delineation => 3 algorithms**



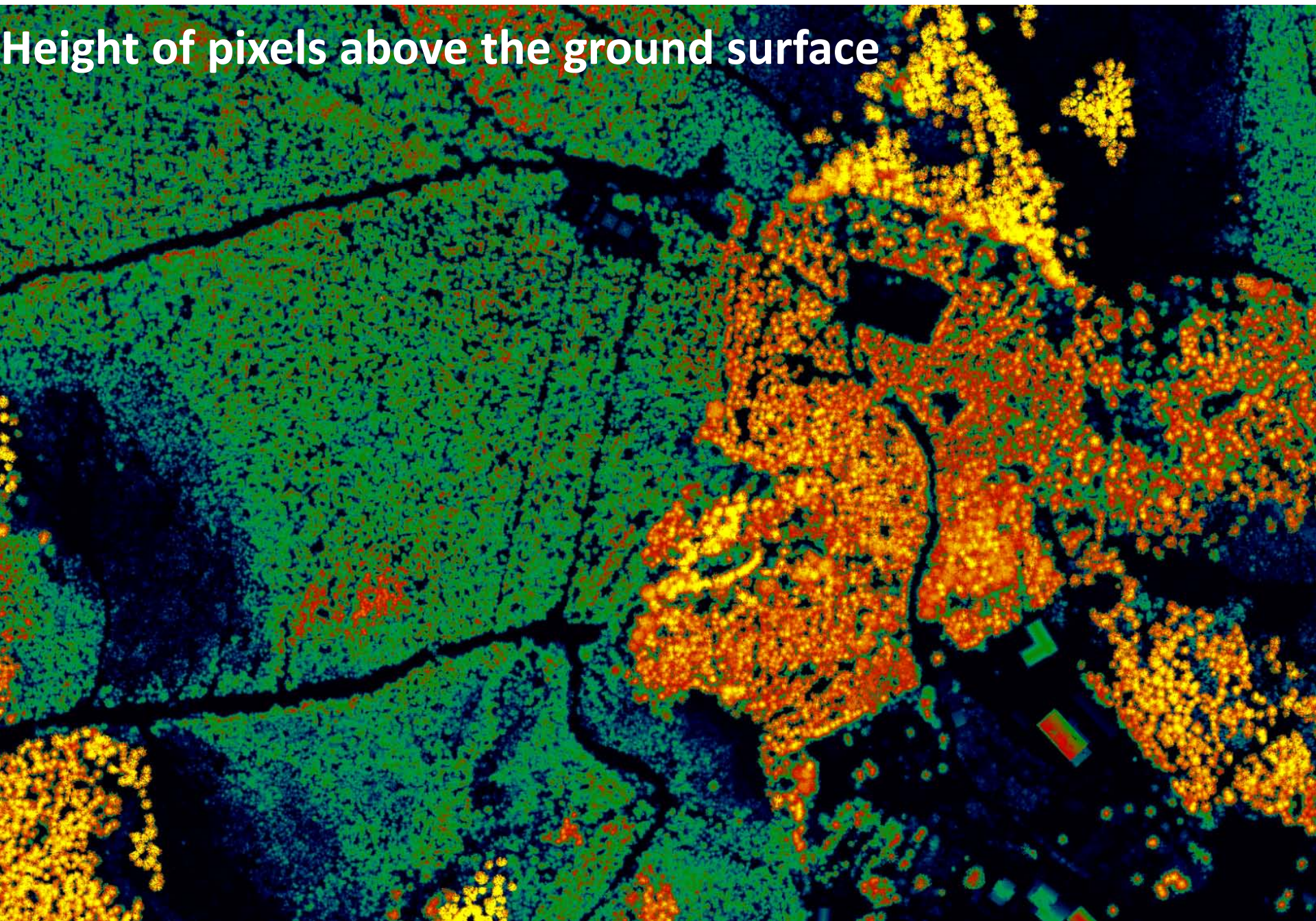
# Data input



- Riegl Q680 instrument; 10/50 points per m<sup>2</sup>
- DEM - triangulation/interpolation of ground X,Y,Z points
- DSM - triangulation/interpolation of above ground X,Y,Z points
- nDSM = DSM - DEM



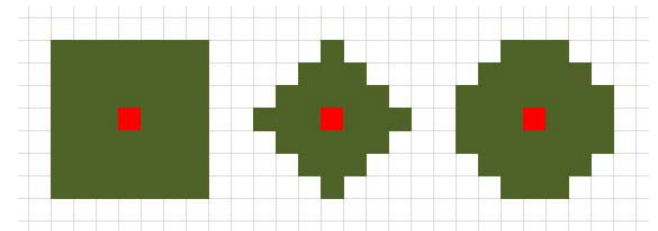
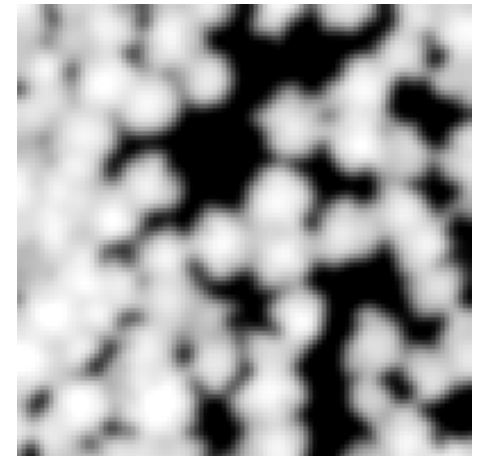
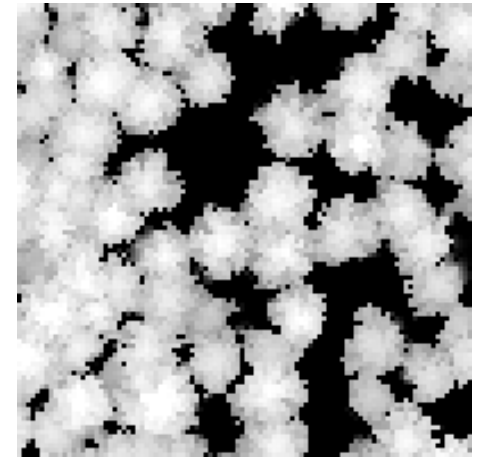
Height of pixels above the ground surface



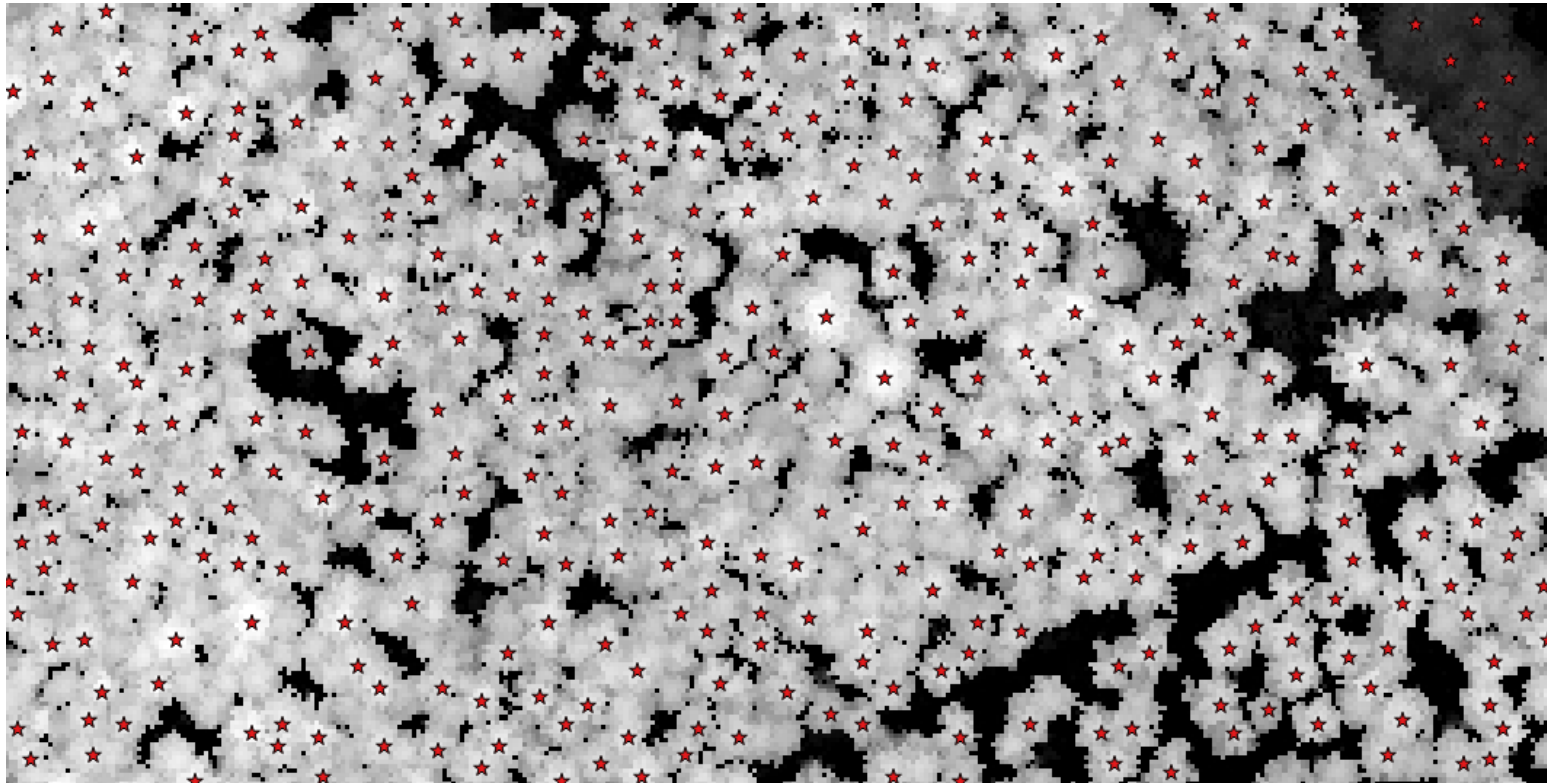


# Tree Detection

- Local Maxima Approach
- Preprocessing = Gaussian filtering
- Local maxima detection
  - Size of a neighborhood
  - Shape of a neighborhood
  - Minimum height threshold



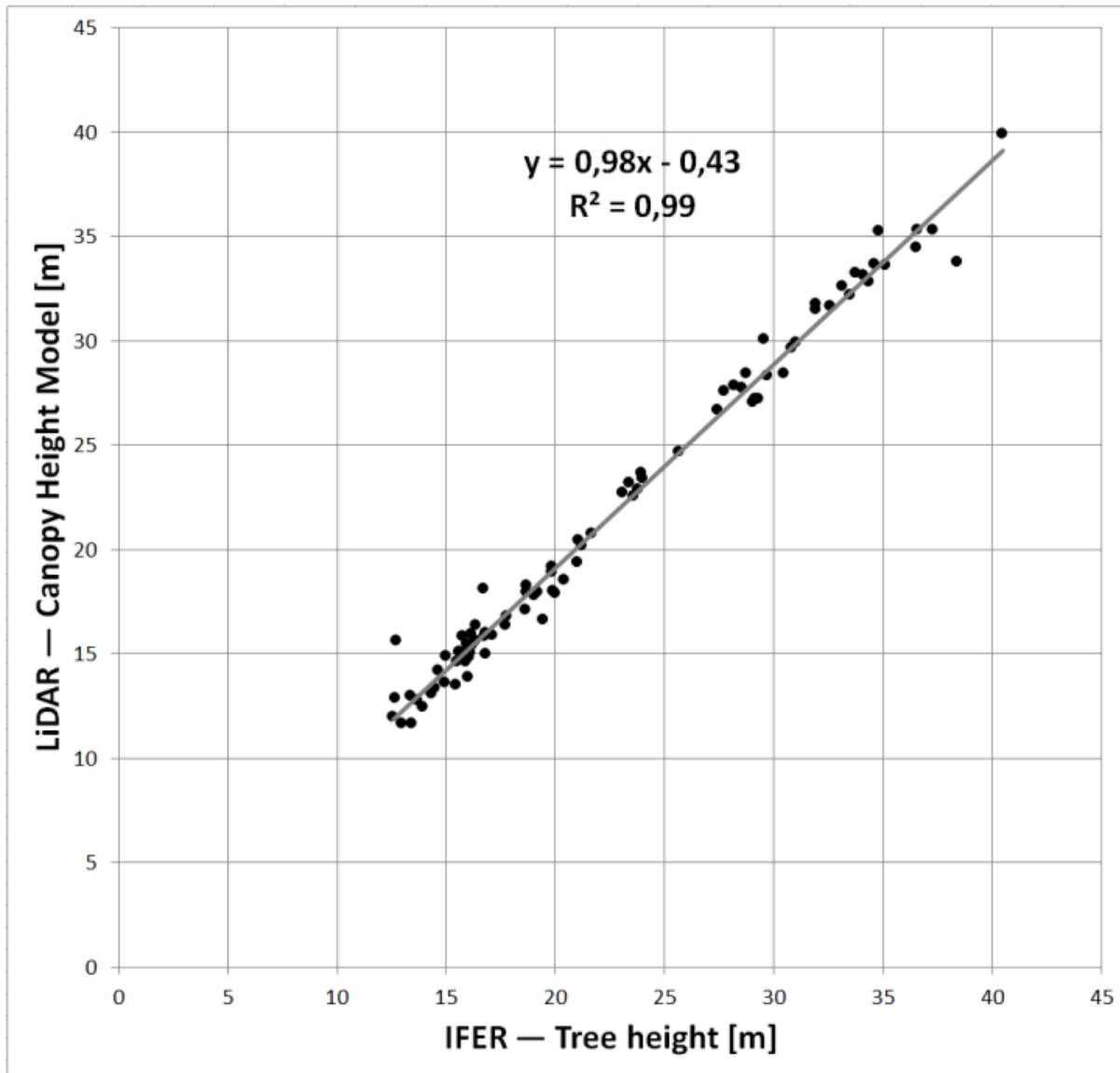
# Results: Tree Detection



Locality	correct	omitted	efficiency	Locality	# of trees	height stats
Bílý Kříž	315	59	85.1 %	Bílý Kříž	5305	11.9 ± 3.8 m
Rájec	372	53	87.5 %	Rájec	4538	27.6 ± 4.1 m
Štítná	405	80	83.5 %	Štítná	6204	26.9 ± 2.6 m



# Single tree height accuracy



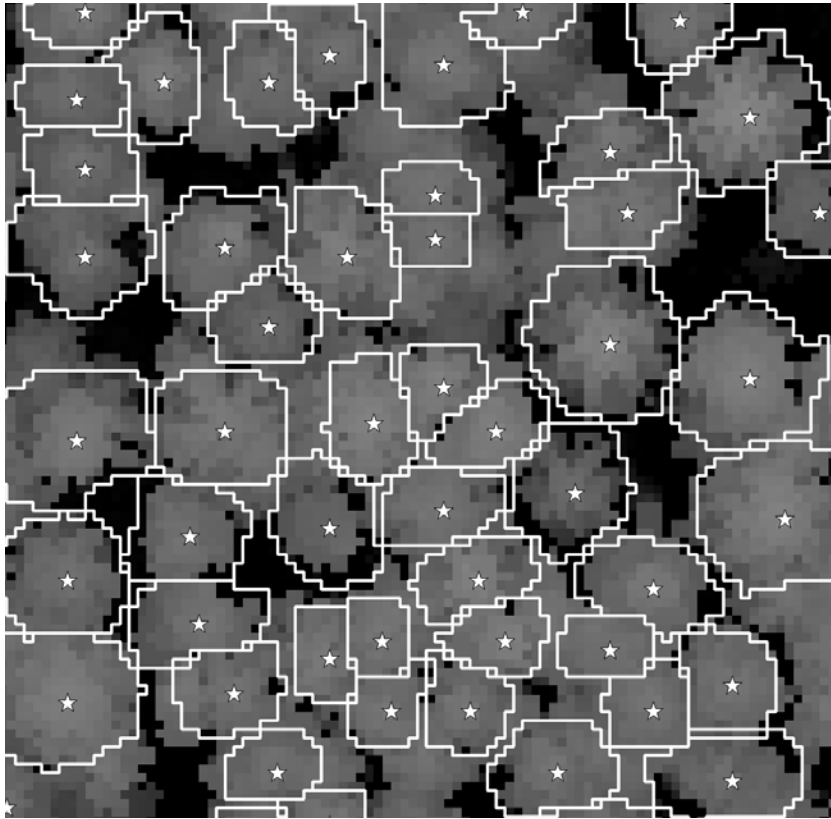
# Projected tree crown area delineation

- **Watershed algorithm**
  - flooding of inverted structure from seed points
  - stopped by watershed ridge or height threshold
- **Valley following / Minima network**
  - boundary network built from local minima points
  - innovation: Voronoi diagram as the first iteration, then we move the boundaries down to local minima
- **Seeded region growing**

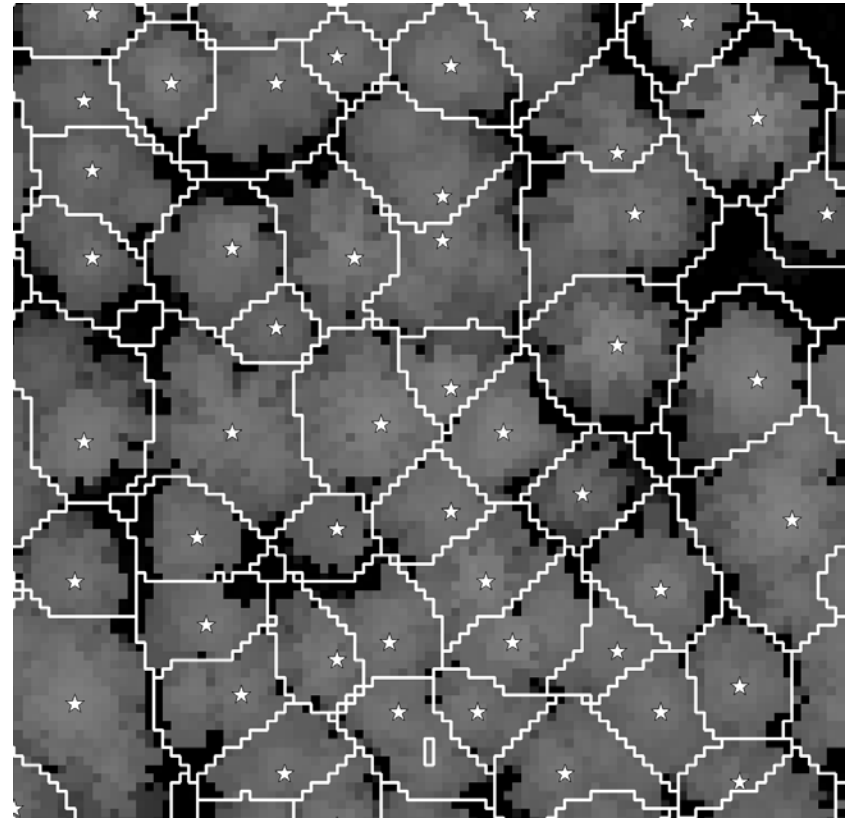




# Comparison: SRG vs. Watershed

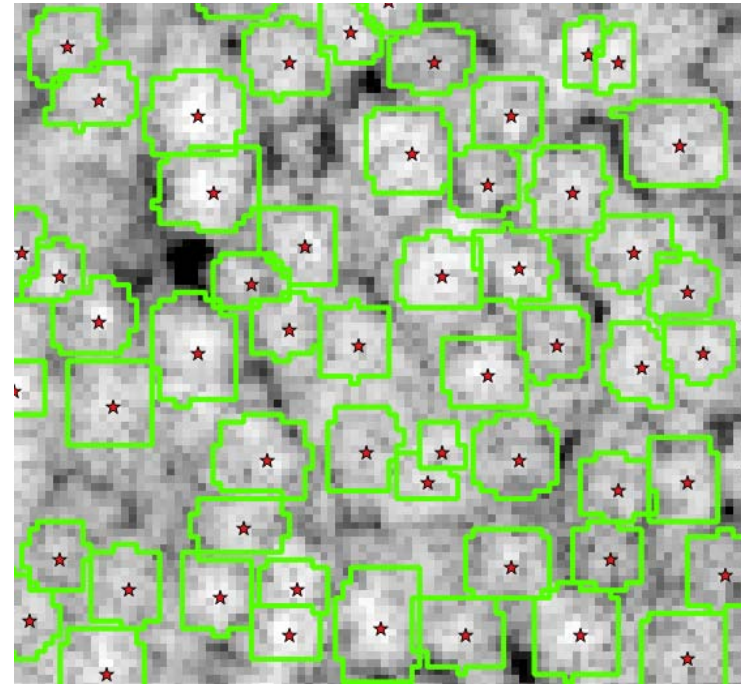
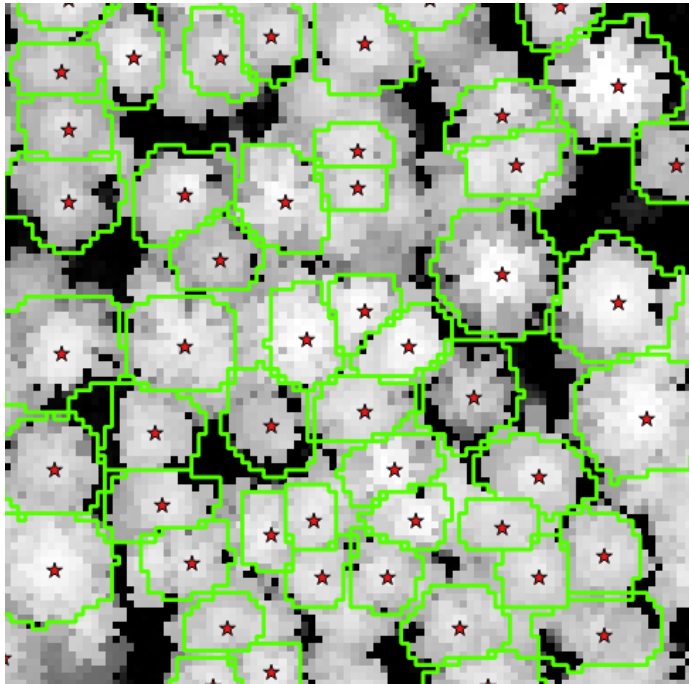


Seeded Region Growing



Watershed algorithm

# Results: Crown Delineation

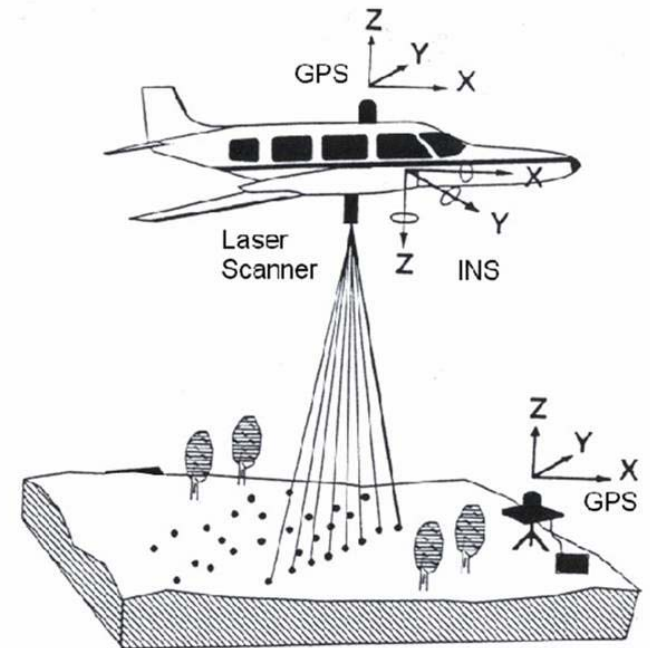


Locality	95 %	75 %	50 %	25 %	accuracy
Bílý Kříž	122	176	54	4	76.8 %
Rájec	177	188	53	10	79.0 %
Štítná	169	294	21	1	80.8 %

# What is the source of point cloud data and how does it work?

## Components of a LiDAR System

- Laser Rangefinder
  - Determines distance to the ground
  - Includes rotating mirror to scan across the ground
- Inertial Navigational System (INS)
  - Determines orientation of the sensor
- Global Positioning System (GPS)
  - Locates the sensor in absolute space
- Computer and Hard Drive



# LiDAR system characteristics

- Current systems operate at 100 kHz or more
  - Data builds up quickly (360 million points per hour)
- Commonly at wavelength of ~1064 nm,  
~ 1580 nm (near-infrared)
  - Good interaction with vegetation
  - Poor interaction with water
- Multiple returns may be recorded

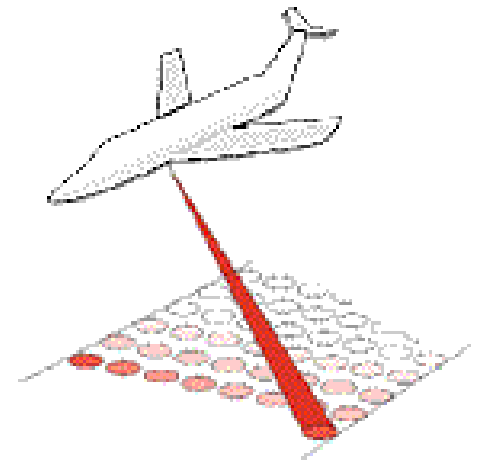
# Small Footprint LiDAR

- **Discrete systems**

- record one, two, or several returns for each emitted laser pulse
- horizontal sampling is determined by the ground area of the footprint caused by the divergence of the laser pulse and the number of footprints per unit area

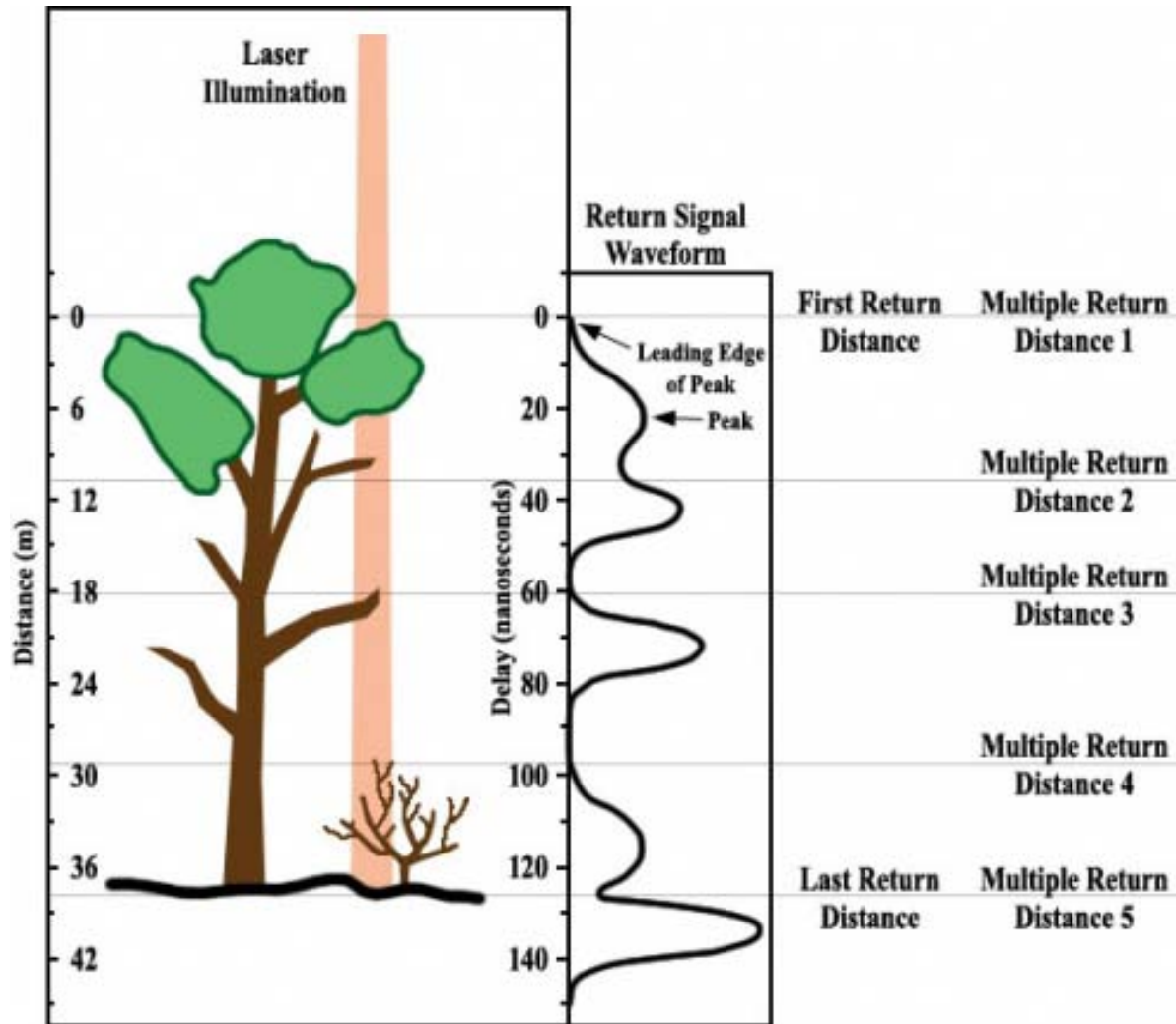
- **Full-waveform systems**

- record the amount of energy return to the sensor over equal time intervals
- The number of these time intervals determines the detail of data within the laser footprint



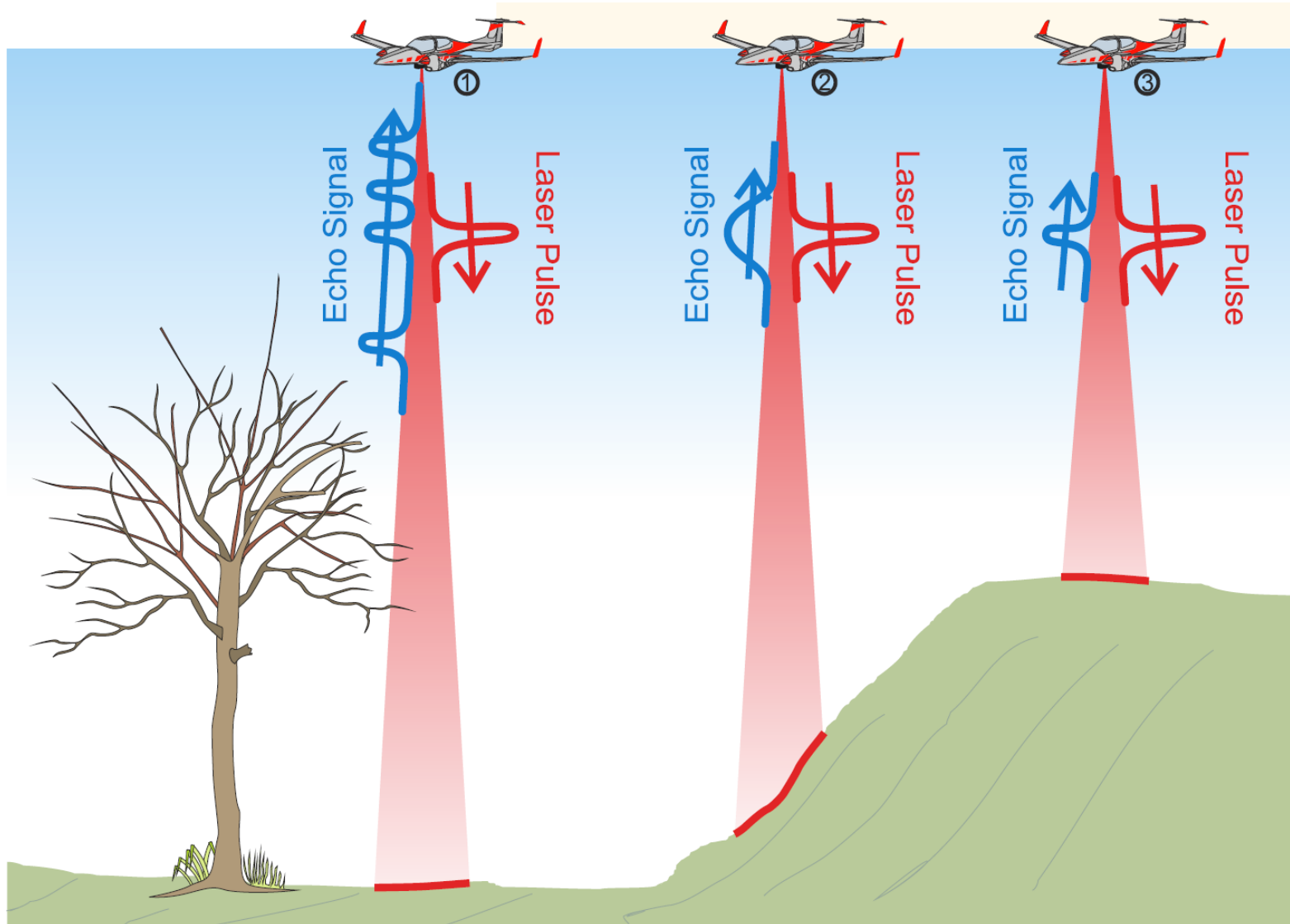
Source: [www.geolas.com](http://www.geolas.com)

# Discrete and Waveform LiDAR



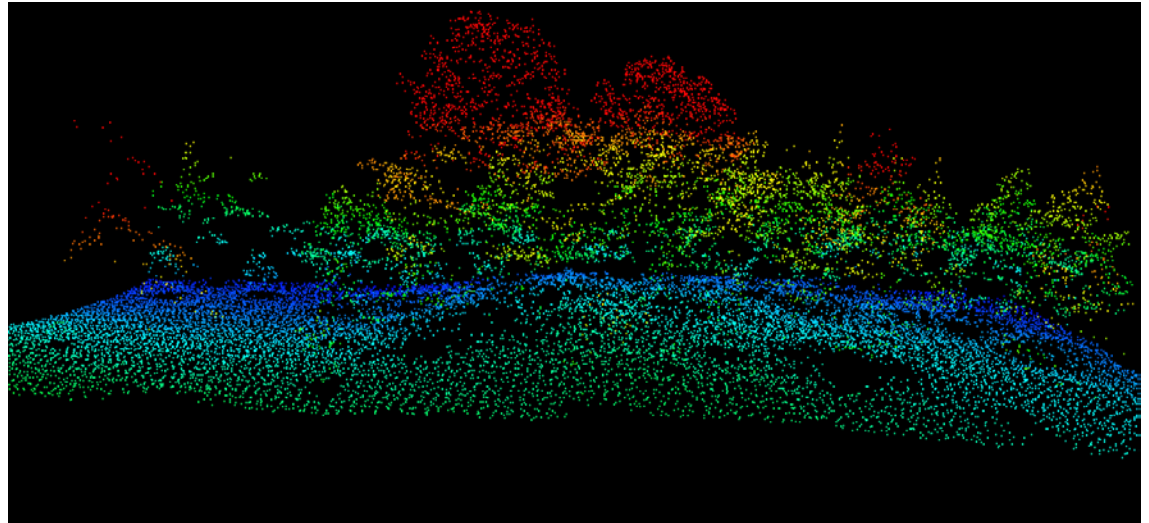


# Waveform LiDAR

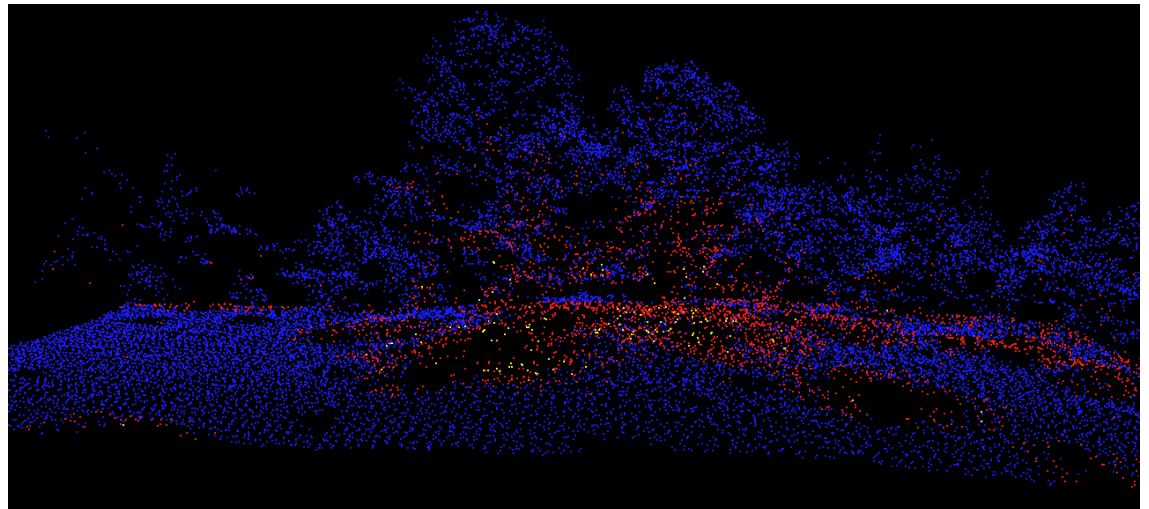


# Multiple Return Lidar

Color represents  
elevations

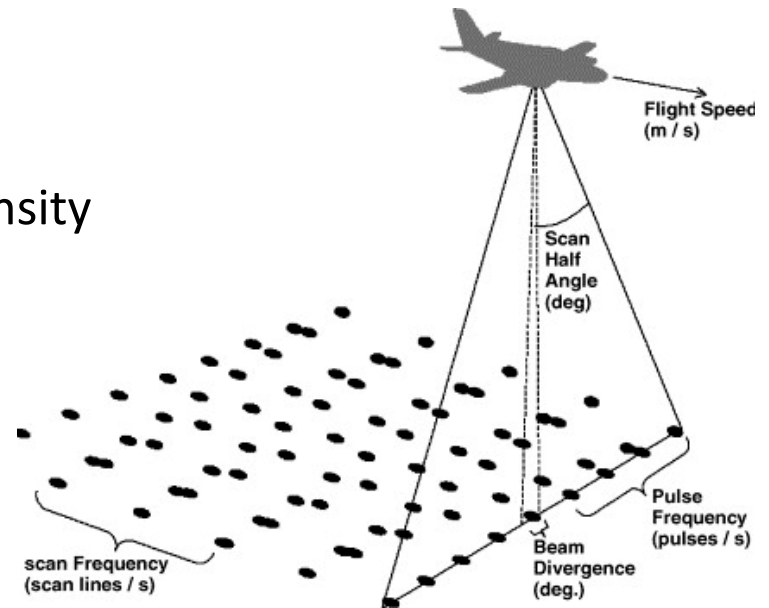


Color represents returns  
(Blue = 1<sup>st</sup>,  
Red=2<sup>nd</sup>,  
Yellow = 3<sup>rd</sup>)



# Specifications for data collection

- Altitude
- Wavelength
- Frequency
- Number of returns
- Pulse spacing on the ground / pulse density
- Footprint size
  - Beam divergence/altitude
- Scan angle
  - 0 degrees = nadir
  - Usually < 15 deg
- Flightlines
  - Percent overlap
- X, Y, Z accuracy



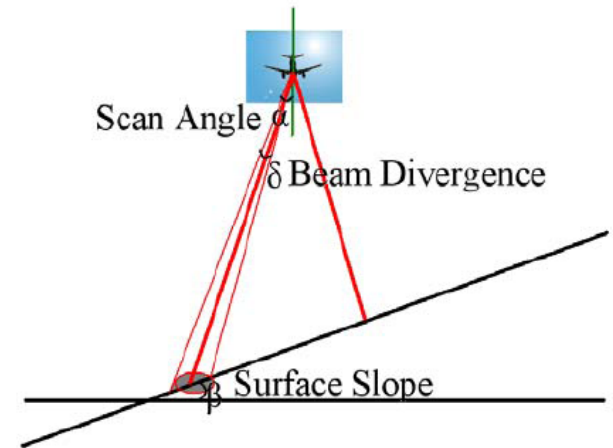
Lovell et al., 2005

# Footprint size

- Depends on sensor configuration, altitude, flight velocity, and scan angle
- Calibrate for scan angle distortion over a known area
- Footprint size:

$$= 2h \tan(\delta / 2)$$
$$= h\delta$$

$h$  – flying height,  $\delta$  - beam divergence



# Intensity

- LiDAR intensity is the ratio of strength of reflected pulse to that of emitted pulse
- Values varies from 0-255
- Depends on
  - material properties
  - atmospheric attenuation
  - scan angle
  - flying height
  - sensor
- Can be useful for classifying landcover



Intenzity



# Point Data Records

## ASPRS Standard LiDAR Point Classes (LAS 1.1 to 1.3)

<b><i>Classification Value (bits 0:4)</i></b>	<b><i>Meaning</i></b>
0	Created, never classified
1	Unclassified <sup>1</sup>
2	Ground
3	Low Vegetation
4	Medium Vegetation
5	High Vegetation
6	Building
7	Low Point (noise)
8	Model Key-point (mass point)
9	Water
10	<i>Reserved for ASPRS Definition</i>
11	<i>Reserved for ASPRS Definition</i>
12	Overlap Points <sup>2</sup>
13-31	<i>Reserved for ASPRS Definition</i>

LAS 1.0 – user-defined

An aerial photograph of a forest landscape. The foreground and middle ground show a large, irregularly shaped area that has been cleared of trees, leaving a dense carpet of green grass and scattered logs. This cleared area is surrounded by a thick forest of tall, thin trees, likely conifers. The background shows more forested hillsides under a clear sky. The text is overlaid in the center of the image.

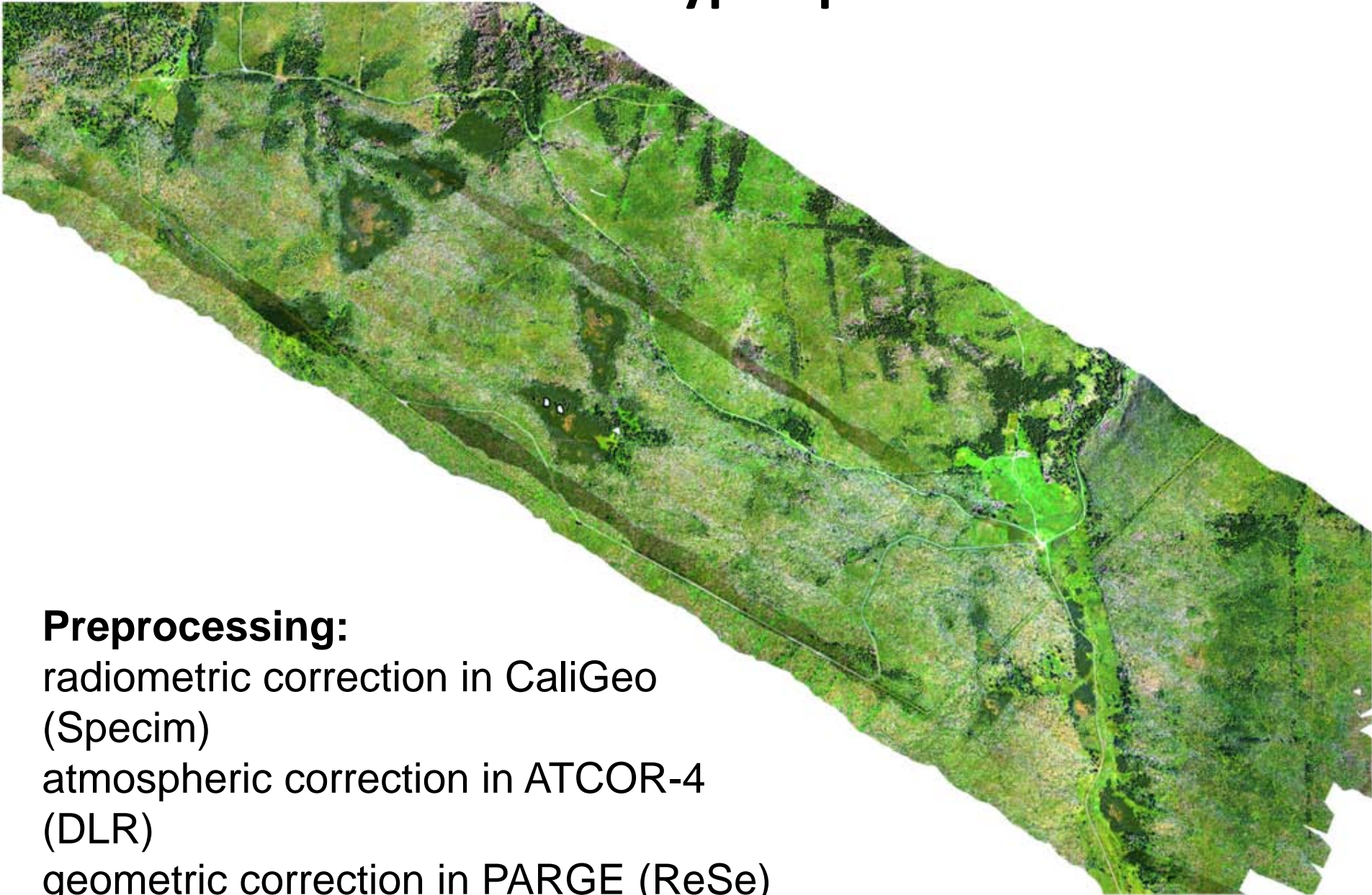
**Combined airborne LiDAR  
and hyperspectral data for  
forest qualitative and  
quantitative assessment**







# Hyperspectral data



## **Preprocessing:**

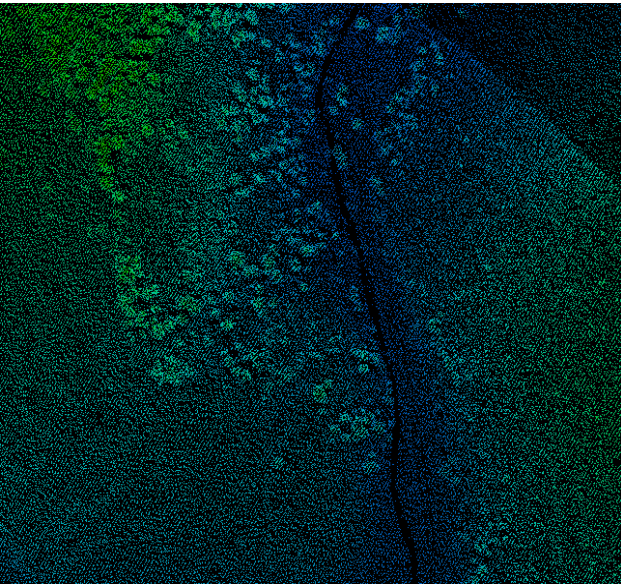
radiometric correction in CaliGeo  
(Specim)

atmospheric correction in ATCOR-4  
(DLR)

geometric correction in PARGE (ReSe)

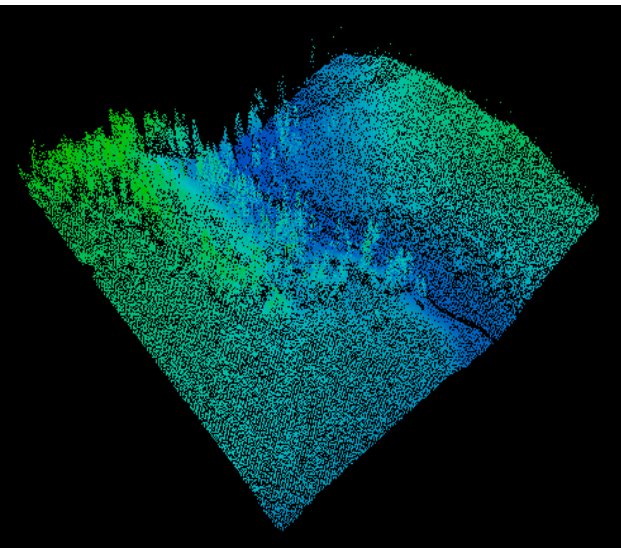
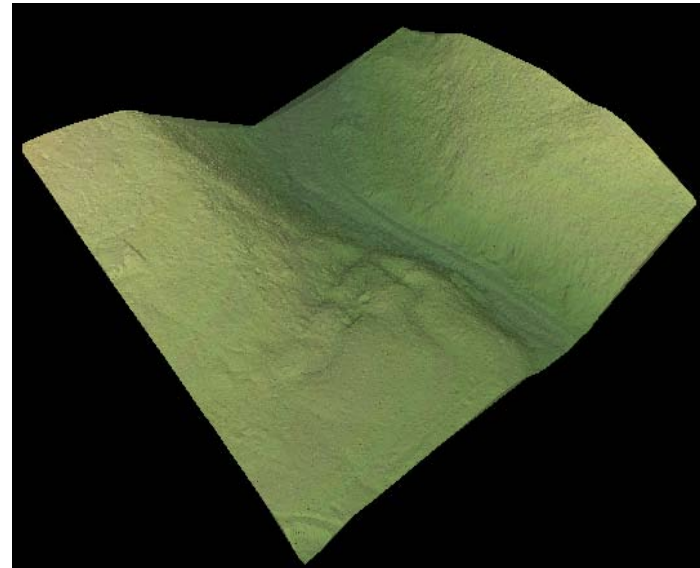


# LiDAR input



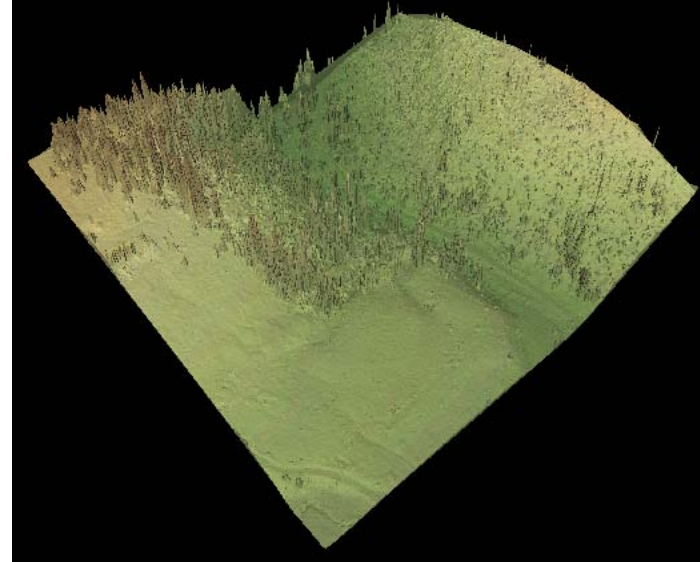
< DEM points

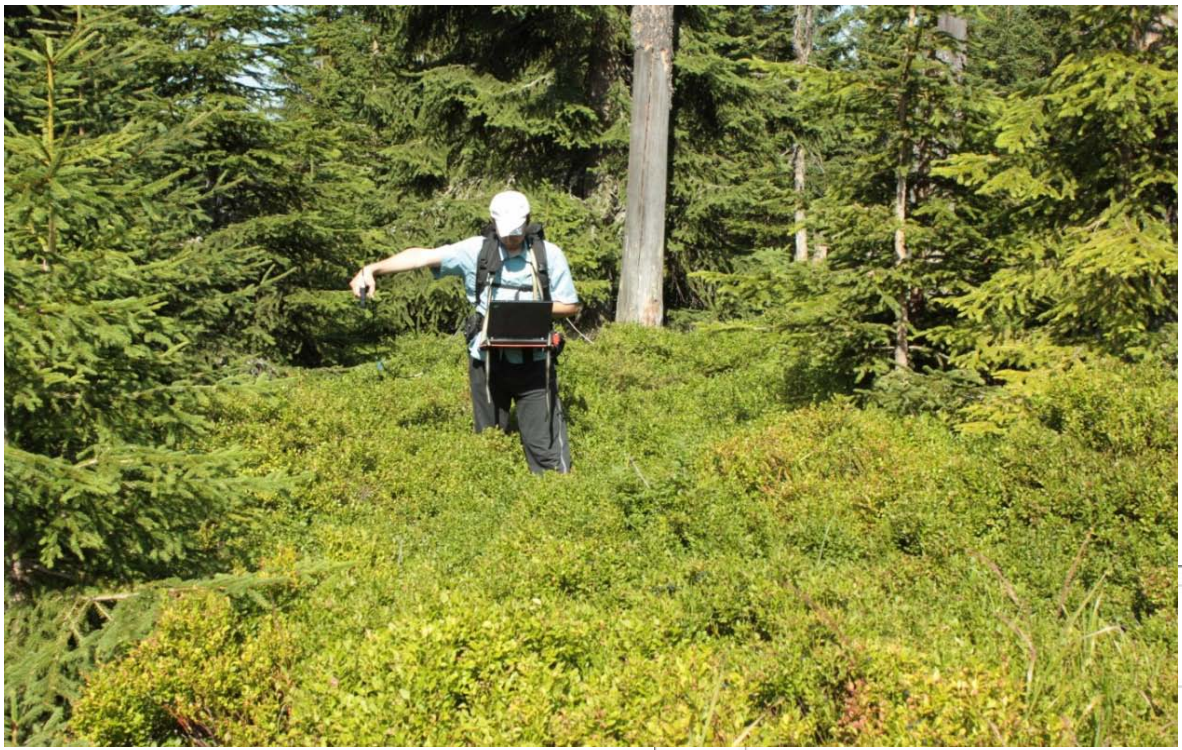
DEM raster >



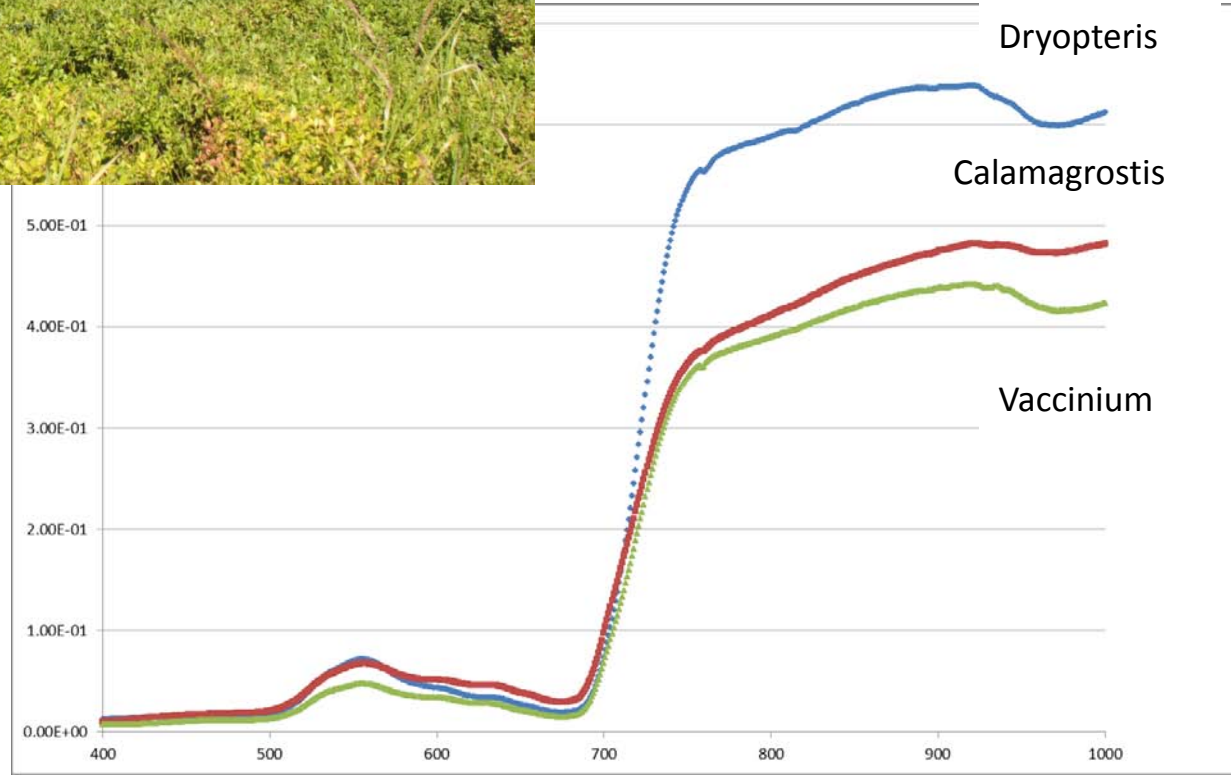
< DSM points

DSM raster >





# Field spectral measurements of selected plant species





# Object oriented classification

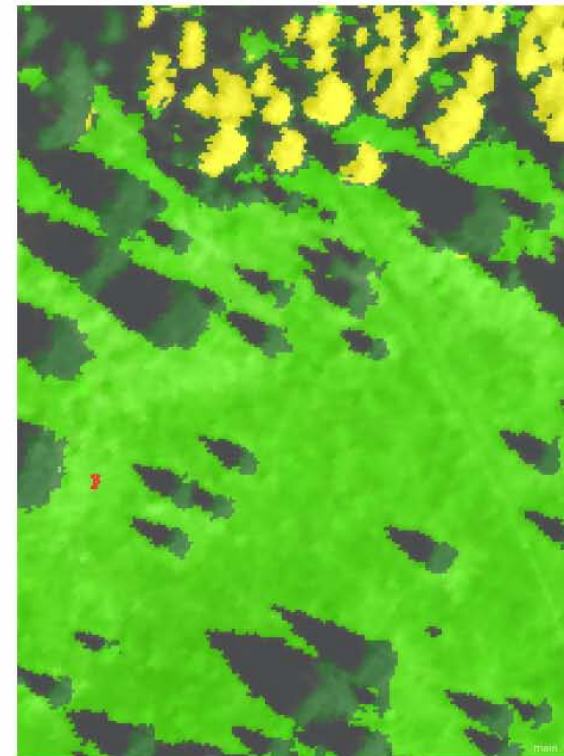
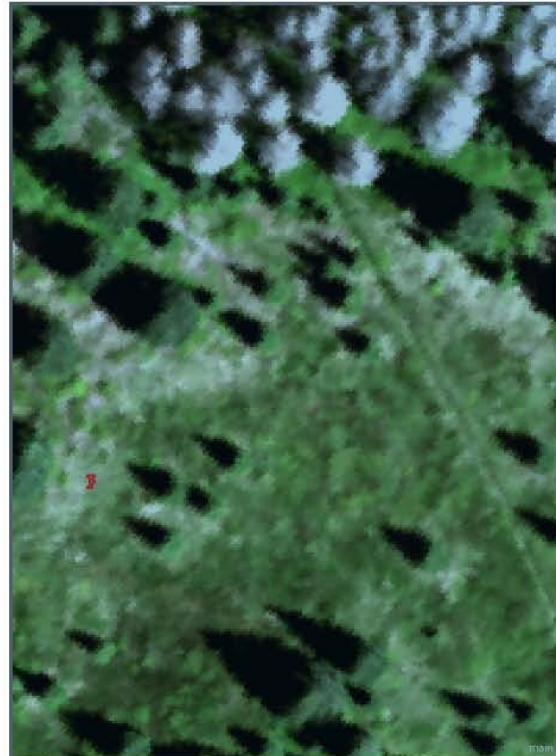
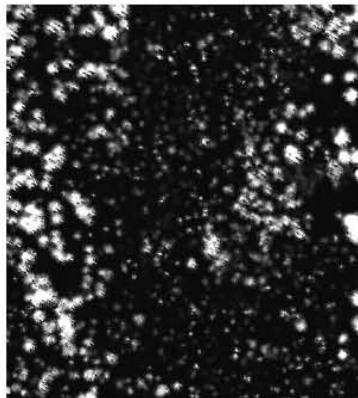
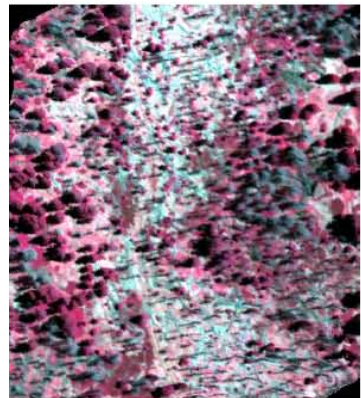
spectral information - from HS data

structural information - tree height/crown area  
derived from LiDAR data

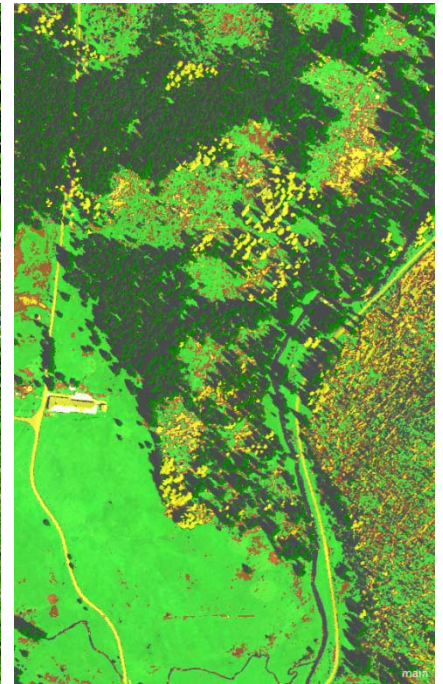
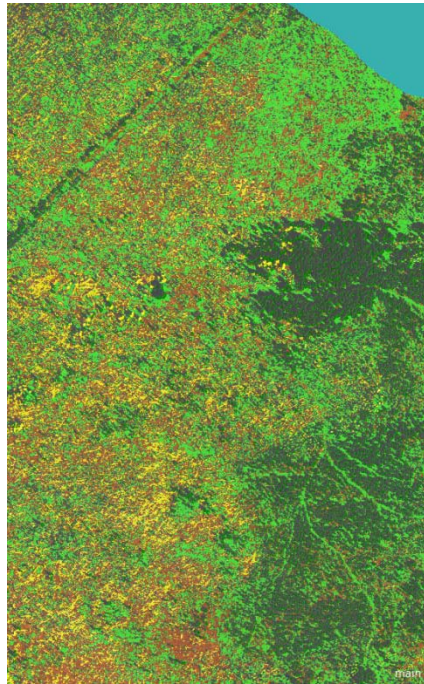
Results of object-oriented classification



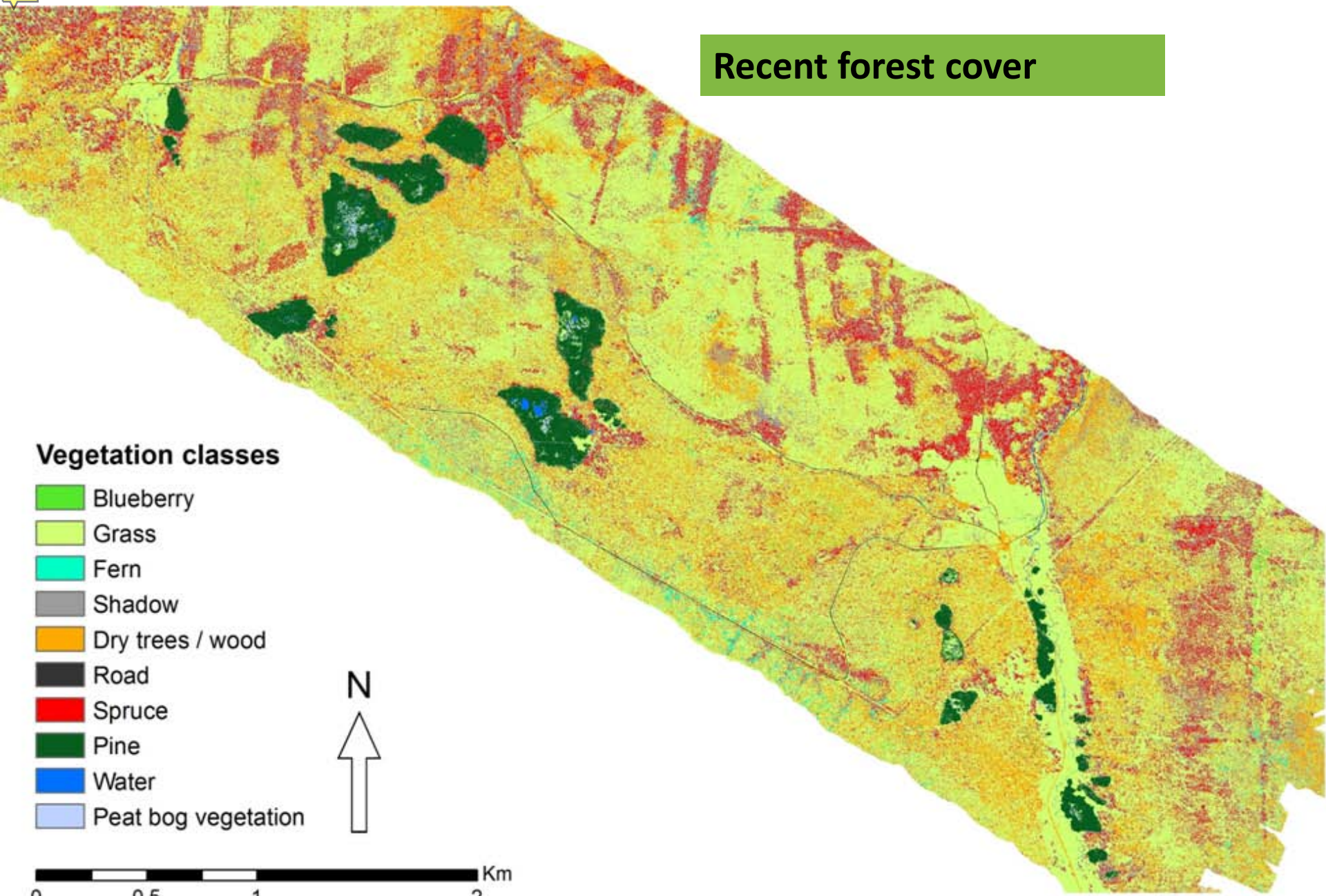
↓  
Classification inputs:  
hyperspectral data and map of tree heights



# Object oriented classification



# Recent forest cover



## Vegetation classes

- Blueberry
- Grass
- Fern
- Shadow
- Dry trees / wood
- Road
- Spruce
- Pine
- Water
- Peat bog vegetation





# Latest technical facilities of CzechGlobe for airborne RS

## Flying Laboratory of Imaging Systems (FLIS) + field campaign instrumentation

### FLIS

- Photogrammetric **aircraft** with two acquisition open slits for imaging RS instruments

**Cessna Caravan 208B**



- Airborne imaging **spectroradiometers** with sensors in visible and near infrared (**VNIR**), short wavelength infrared (**SWIR**) and thermal infrared (**TIR**) spectral regions
- **IMU/GPS** units
- Full-waveform Light Detection And Ranging (**LiDAR**) airborne laser scanner for mapping the geometrical characteristics of the Earth surface objects (AdMaS)

<http://mapserver.czechglobe.cz>

## CASI-1500 SPECIFICATIONS

FIELD OF VIEW	40° Across-Track over 1500 pixels
SPECTRAL RANGE	650nm between 365 and 1050nm
SPECTRAL SAMPLES	Programmable, up to 288 (<3.5 nm FWHM)
APERTURE	F/3.5 to F/18.0
DYNAMIC RANGE	16,384:1 (14 bits)
NOISE FLOOR	< 2.0 DN
SIGNAL TO NOISE RATIO*	1095:1 peak
DATA RATE (MB/SEC)	20



## SASI-600 SPECIFICATIONS

FIELD OF VIEW	40° across-track over 600 pixels
SPECTRAL RANGE	950 to 2450nm
SPECTRAL SAMPLES	100 at 15nm intervals
APERTURE	F/2
DYNAMIC RANGE	16,384:1 (14 bits)
NOISE FLOOR	6.0 DN
SIGNAL TO NOISE RATIO	Contact ITRES for SNR calculations
DATA RATE (MB/SEC)	16 (Mode 1) 9.6 (Mode 2: Preferred data rate for optimal image quality)



## TASI-600 SPECIFICATIONS

FIELD OF VIEW	40° across-track over 600 pixels
SPECTRAL RANGE	8 to 11.5 $\mu$ m
SPECTRAL SAMPLES	32 at 0.25 $\mu$ m intervals
APERTURE	F/1.5
DYNAMIC RANGE	16,384:1 (14 bits)
NOISE FLOOR	6.0 DN
SIGNAL TO NOISE RATIO	Contact ITRES for SNR calculations
DATA RATE (MB/SEC)	13.25
NEDT	0.2° at 300K



## **LiDAR - RIEGL LMS-Q680i** waveform airborne laser scanner

**Thank you for your attention.**

